



CYNTHIA D. BANKS
Interim Director

COMMUNITY AND SENIOR SERVICES OF LOS ANGELES COUNTY

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"To Enrich Lives Through Effective And Caring Service"

BOARD OF SUPERVISORS

GLORIA MOLINA
YVONNE B. BURKE
ZEV YAROSLAVSKY
DON KNABE
MICHAEL D. ANTONOVICH

June 14, 2005

The Honorable Board of Supervisors
County of Los Angeles
383 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, CA 90012

Dear Supervisors:

**DISPUTE RESOLUTION PROGRAM (DRP)
FISCAL YEAR 2005-06 FUNDING RECOMMENDATIONS
(ALL SUPERVISORIAL DISTRICTS)
(3-VOTES)**

IT IS RECOMMENDED THAT YOUR BOARD:

1. Approve the attached Dispute Resolution Program funding recommendations to service providers as shown in Attachment I, in the total amount of \$ 2,089,000, effective July 1, 2005 through June 30, 2006.
2. Authorize the Interim Director of Community and Senior Services (CSS), or designee, to negotiate and execute contracts totaling \$ 2,089,000 in substantially similar form to Attachment II with the agencies and in the amounts indicated in Attachment I, for provision of dispute resolution services, after County Counsel approval, effective July 1, 2005 through June 30, 2006. The FY 2005-06 contract costs are fully financed using the Alternative Dispute Resolution (ADR) Trust Fund.
3. Authorize the Interim Director of CSS, or designee, to execute contract amendments in substantially similar form to Attachment III, to increase or decrease contract amounts based on contractor performance and availability of funding, provided that: (a) the amount of change does not exceed 25% of the contract amount; (b) approval of County Counsel and the CAO is obtained prior to such amendment; and (c) the Director, or designee, confirms in writing to the Board of Supervisors and the CAO within 30 days after execution that such amendments have been executed. This action assures full program expenditures of DRP funds and is consistent with the Board's policy requiring review of contractor performance.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION

The recommended action will enable the County to continue the Dispute Resolution Program in FY 2005-06 providing mediation, conciliation, facilitation, arbitration, and other dispute resolution services to County residents.

Implementation of Strategic Plan Goals

The recommended actions are consistent with the principles of the Countywide Strategic Plan. The DRP supports Goal 1 (Service Excellence) and Goal 4 (Fiscal Responsibility) by providing easy access to services and increasing public/private partnerships.

Performance Measures

In accordance with the Dispute Resolution Programs Act and Regulations, contract program goals measure the following elements: number of clients served, number of cases resolved, and client follow-up/customer satisfaction survey on the services provided.

FISCAL IMPACT/FINANCING

A special fee of \$8 is assessed on certain civil court filings and is deposited into the ADR Trust Fund which is used to finance the DRP. The estimated FY 2005-06 cost for the DRP is \$2,713,000, and is distributed as follows:

\$2,089,000	service provider contracts costs
271,000	CSS administrative/overhead costs
353,000	reserved appropriation for contingencies
<u>\$2,713,000</u>	

Due to decreased civil case filings, funding for DRP contractors was reduced proportionately based on the amount available for program services and in accordance with the refunding formula approved by the Board in 1999.

There will be no impact on the County General Fund and funding has been included in the Department's FY 2005-06 Proposed Budget.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

One agency, the Los Angeles County Department of Community and Senior Services - Voluntary Mediation Services (VMS), will not be funded for FY 2005-06 due to the administrative oversight remaining with CSS. There will be no impact on services due to the referral of client calls by VMS to the remaining DRP service providers.

The DRP contracts are with private not for profit and public entities that have provided dispute resolution services for a minimum of one year, demonstrated that they have a

separate unit solely dedicated to the provision of such services, and must meet a hundred percent (100%) grant match. Contractors must also comply with regulations as specified in the California Dispute Resolution Programs Act. The term of these contracts is July 1, 2005 through June 30, 2006.

CONTRACTING PROCESS

On February 25, 2003, the Department issued a Request for Proposals (RFP) for a three (3) year period from 2003 to 2006. The notice was posted on the Office of Small Business (OSB) and CSS Website. This is the third year of a three (3) year RFP cycle. Funding and eligibility for renewal, is dependent on the satisfactory administrative and program performance as well as the availability of funds. Sixteen applicant organizations submitted proposals, and all sixteen were successful in the bid process.

Monitoring

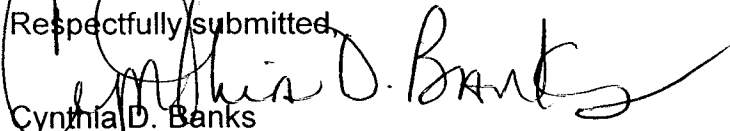
Fiscal and contract program goal monitoring is done by DRP Staff. In addition to the contract monitors, DRP Program Specialists conduct a minimum of two (2) program site visits to review administrative and case files, review and approve fiscal invoices, follow-up findings, review client follow-up surveys, on site fiscal invoice documentation review, and review monthly program and case information reports.

IMPACT ON CURRENT SERVICES

The types of disputes to be resolved through mediation, conciliation, arbitration, facilitation and other dispute resolution processes include, but are not limited to: landlord and tenant, business and business, consumer and merchant, neighbor and neighbor, employer and employee, minor criminal matters, personal injury, ethnic/racial conflict, victim and youth offender and family relations.

These services offer alternatives to formal court proceedings and a peaceful means to resolve disputes. The DRP directly impacts the courts by diverting cases from the civil court calendar thus helping to mitigate court backlogs. All agencies funded under this program will also provide conflict resolution/conflict prevention training programs and ongoing public education on the use of dispute resolution services.

Respectfully submitted,


Cynthia D. Banks
Interim Director

Attachments (4)

C: David E. Janssen
Raymond G. Fortner, Jr.
Violet Varona-Lukens
J. Tyler McCauley

**DISPUTE RESOLUTION PROGRAM
FUNDING RECOMMENDATIONS
July 1, 2005 - June 30, 2006**

Contractors	FY 2004-05	FY 2005-06	Difference
Asian Pacific American Dispute Resolution Center	\$ 185,019	\$ 144,691	\$ 40,328
California Academy of Mediation Professionals	\$ 141,600	\$ 110,736	\$ 30,864
California Lawyers for the Arts, Arts Arbitration And Mediation Services	\$ 22,140	\$ 17,315	\$ 4,825
Center for Conflict Resolution	\$ 141,600	\$ 110,736	\$ 30,864
City of Hawthorne/Centinela Youth Services	\$ 248,197	\$ 194,098	\$ 54,099
City of Norwalk Dispute Resolution Program	\$ 47,659	\$ 37,271	\$ 10,388
Inland Valley Justice Center, Inc.	\$ 82,009	\$ 64,134	\$ 17,875
Korean American Coalition, The 4.29 Center	\$ 43,557	\$ 34,063	\$ 9,494
Los Angeles County Bar Association, Dispute Resolution Services, Inc.	\$ 191,755	\$ 149,959	\$ 41,796
Los Angeles County Department of Community and Senior Services, Voluntary Mediation Services	\$ 138,765	N/A	\$138,765
Los Angeles County Department of Consumer Affairs, Dispute Settlement Services	\$ 208,952	\$ 163,408	\$ 45,544
Loyola Law School, The Center for Conflict Resolution	\$ 351,536	\$ 274,914	\$ 76,622
Martin Luther King Legacy Association, Inc., Martin Luther King Dispute Resolution Program	\$ 50,880	\$ 39,790	\$ 11,090
Office of the Los Angeles City Attorney, Dispute Resolution Program	\$ 300,656	\$ 235,124	\$ 65,532
Superior Court of California, County Los Angeles	\$ 655,675	\$ 512,761	\$142,914
TOTAL	\$2,810,000	\$2,089,000	\$721,000



**COUNTY OF LOS ANGELES
CONTRACT FOR CONDUCT AND ADMINISTRATION OF A
DISPUTE RESOLUTION PROGRAM
CONTRACT NO. _____**

This Contract is entered into this 1st day of July 2005, by and between the County of Los Angeles, hereinafter referred to as the "COUNTY", and _____ hereinafter referred to as the "CONTRACTOR."

WHEREAS, COUNTY and CONTRACTOR have created a County Dispute Resolution Program (DRP) pursuant to California Business and Professions Code (the Code) Sections 465, et seq., including, but not limited to Section 467.1 and Section 471.5;

WHEREAS, COUNTY has selected CONTRACTOR to provide services to persons requesting dispute resolution services pursuant to the Code, and rules and regulations or guidelines promulgated under Code Section 471,

WHEREAS, CONTRACTOR desires to participate in said program and is qualified by reason of experience, preparation, organization, staffing and facilities to provide the services contemplated by this contract;

NOW, THEREFORE, for and in consideration of the foregoing promises and for the express intention of carrying out the purposes of the Dispute Resolution Program as administered by the County of Los Angeles, the parties do hereby agree as follows:

SECTION 1. APPLICABLE DOCUMENTS.

- (a) This Contract consists of this three (3) page document and the following exhibits and attachments, inclusive:
 - (1) Standard Terms and Conditions (Exhibit A)
 - (2) Statement of Work (Exhibit B)
 - (3) Budget (Exhibit C)
 - (4) Dispute Resolution Definition / Working Guide (Attachment A)
 - (5) Referral Source Categories (Attachment B)
 - (6) Safely Surrendered Baby Law (Attachment C)
 - (7) Certifications: Drug Free Workplace, Lobbying, Vendors EEO, Debarment, Suspension, and Other Responsibility, and Jury Service. (Attachment D)
- (b) In the event of any conflict in the definition of or the interpretation of any word, responsibility, or the contents of a deliverable product or service between this three (3) page document, exhibits and attachments attached hereto, said conflict or inconsistency shall be resolved in favor of Exhibit A to this Contract.

SECTION 2. CONTRACTOR OBLIGATIONS.

- (a) CONTRACTOR shall comply with all terms and conditions of this Contract (including all terms contained in the exhibits hereto), and those imposed and required by Dispute Resolution Program provisions, implementing regulations, grant requirements, rules and policies (which may from time to time be amended, modified or revised by the COUNTY).

- (b) In addition to other obligations set forth in this Contract, and subject to DCSS oversight, the CONTRACTOR shall perform those activities identified in the Statement of Work (Exhibit B).

SECTION 3. COUNTY OBLIGATIONS.

COUNTY agrees to reimburse CONTRACTOR for Contractor's actual eligible expenditures permissible under DRP regulations, which are incurred in providing services during the contract period, in accordance with relevant invoicing policies and procedures set forth in this contract; provided, however, that to this contract shall not exceed: _____ dollars (\$_____) during the term of this contract.

All DRP expenditures made by the Contractor must be made in accordance with the Budget, (Exhibit C) attached to the contract and incorporated herein.

SECTION 4. TERM.

The term of this Contract shall commence on July 01, 2005 and terminate no later than June 30, 2006, except as otherwise provided herein.

SECTION 5. NOTICES/AUTHORIZED SIGNATURES.

Notices: Unless otherwise set forth in this Contract, notices required or permitted to be given under the terms herein or by any law now or hereafter in effect, shall be sent to:

- (a) County of Los Angeles
Department of Community and Senior Services
Dispute Resolution Program Grants Administration
3333 Wilshire Blvd., Suite 400
Los Angeles, CA 90010-4101

- (b) CONTRACTOR:

Attention: _____

- (c) **Authorized Signatures.** Person(s) authorized to legally bind the contract and sign legal documents:

(Authorized Signature)

(Typed Name)

(Title)

(Authorized Signature)

(Typed Name)

(Title)

IN WITNESS WHEREOF, the County of Los Angeles has caused this Contract to be subscribed on its behalf by the Interim Director of Community and Senior Services, or her designee, and the CONTRACTOR subscribed the same through its authorized officer, the day, month and year first above written. The person signing on behalf of the CONTRACTOR warrants that he or she is authorized to bind the CONTRACTOR, and attests to the truth and authenticity of representations made and documents submitted and incorporated as part of this contract, under penalty of perjury.

COUNTY OF LOS ANGELES

By: _____
Cynthia D. Banks,
Interim Director, Community & Senior Services

APPROVED AS TO FORM:
Raymond G. Fortner, Jr.
County Counsel

By: _____
Deputy

CONTRACTOR

Contractor (Print or Type)
By: _____
(Authorized Signature)

Name (Print or Type)

Title (Print or Type)

Executed at: _____

(Date)

LOS ANGELES COUNTY DEPARTMENT OF COMMUNITY AND SENIOR SERVICES

**DISPUTE RESOLUTION PROGRAM
FISCAL YEAR 2005-06**

EXHIBIT A

STANDARD TERMS AND CONDITIONS

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**STANDARD TERMS AND CONDITIONS
DISPUTE RESOLUTION PROGRAM**

§ 100. DEFINITIONS. For purposes of this Contract, including all Exhibits thereto, the following definitions shall govern its interpretation. In the event of any omission or conflict in the definition or interpretation of any term defined herein, the parties agree that such term or interpretation shall be made in a manner consistent with said terms as defined or explained in the DISPUTE RESOLUTION PROGRAM, as amended, or implementing regulations.

§ 101. The **DISPUTE RESOLUTION PROGRAM** will be referred to as “**DRP**”.

§ 102. “**Contractor**” shall mean the agency receiving funds through this Contract.

§ 103. “**DCSS**” shall mean the County of Los Angeles Department of Community and Senior Services.

§ 104. The Director of the Community and Senior Services shall be referred to as the “**County Project Director**”.

§ 105. “**County**” shall mean the County of Los Angeles.

§ 106. “**Contract**” shall mean the Contract by and between the Contractor and the County of Los Angeles, which Contract shall include the main document and all exhibits referenced thereto within the Contract.

§ 200. ASSURANCES/CERTIFICATIONS. The Contractor provides the following assurances and certifications, and agrees to the following terms:

§ 201. Legal Authority.

- (a) The Contractor gives assurance and certifies that it possesses the legal authority to execute the proposed program, that a resolution, motion, or similar action has been duly adopted or passed as an official act of the Contractor governing body, authorizing receipt of **DRP** funds, and directing and designating the authorized representative(s) of the Contractor to act in connection with the **DRP** specified and to provide such additional information as may be required by the County, State, or any agency of the Federal government, as applicable.
- (b) The Contractor represents and warrants that its signatory to this Contract is fully authorized to obligate or otherwise bind the Contractor.

§202. Compliance with Laws.

- (a) The Contractor certifies and agrees that it will fully comply with all applicable requirements of the **DRP** regulations, rules and policies issued pursuant to the enabling statute(s), and all applicable ordinances, rules, policies, directives, and procedures adopted by the County for which the Contractor is provided actual or constructive notice. The County reserves the right to review the Contractor procedures to ensure compliance with the statutes, ordinances, regulations,

rules, rulings, policies and procedures of the State and the Federal government, as applicable. Additionally, the Contractor assures that it shall comply with all applicable provisions of the Federal Office of Civil Rights, Title VI requirement.

- (b) The Contractor certifies and agrees that it shall comply with all applicable Federal, State and local laws, rules, regulations, ordinances, and directives, and all provisions required to be included in this contract are incorporated by this reference. The Contractor shall indemnify and hold the County harmless from any loss, damage or liability resulting from a violation by the Contractor, its agents, officers and employees of any such laws, rules, regulations, ordinances, and directives.
- (c) The Contractor agrees to comply with all applicable Federal, State and local laws, rules, regulations, ordinances and directives, and all provisions required thereby to be included herein, are hereby incorporated by this reference. These shall include, but are not limited to:
 - (1) California Welfare & Institutions Code (WIC);
 - (2) California Department of Social Services (CDSS) Manual of Policies and Procedures;
 - (3) Social Security Act;
 - (4) State Energy and Efficiency Plan (Title 24, California Administrative Code);
 - (5) Clean Air Act (Section 306, 42 USC 1857 (h));
 - (6) Clean Water Act (Section 508, 33 USC 1368);
 - (7) Equal Employment Opportunity (EEO) (Executive Order 11246, amended by Executive Order 11375 and supplemented in Department of Labor Regulations, 41 CFR Part 60); and
 - (8) Executive Order 11738 and Environmental Protection Agency Regulations (40 CFR Part 15).

§ 203. Nondiscrimination in Services.

- (a) The Contractor certifies that the Contractor and all persons employed by Contractor, its affiliates, subsidiaries or holding companies, if any, shall not discriminate in the provision of services hereunder and that the aforementioned parties shall comply with all applicable Federal and State statutes to the end that no person shall, on the basis of race, color, religion, ancestry, national origin, ethnic group, sex, age, condition of physical or mental disability, marital status or political affiliation, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract. For the purpose of this **§203**, discrimination in the provision of services may include, but is not limited to, the following: denying any person any service or benefit or the availability of a facility; providing any service or benefit to any person which is not equivalent or is not provided in an equivalent manner or at an equivalent time to that provided to others; subjecting any person to segregation or separate treatment in any manner related to the receipt of any service; restricting any person in any way in the enjoyment of any advantage or privilege enjoyed by others receiving any service or benefit; and treating any person differently from

others in determining admission, enrollment quota, eligibility, membership, or any other requirements or conditions which persons must meet in order to be provided any service or benefit.

- (b) If the County finds that any nondiscrimination provisions have been violated, such violation shall constitute a material breach upon which the County may terminate or suspend this Contract. While the County retains the right to determine independently that the anti-discrimination provisions of this Contract have been violated, any determination by the State Fair Employment and Housing Commission or the Federal Equal Employment Opportunity Commission that the Contractor has violated State or Federal anti-discrimination laws or regulations shall also constitute a finding by the County that the Contractor has violated the anti-discrimination provisions of this Contract.

§ 204. Nondiscrimination, Affirmative Action and Assurance of Compliance with Civil Rights.

- (a) The Contractor assures and certifies that all persons employed by it, its affiliates, subsidiaries or holding companies, are and will be treated equally by it without regard to, or because of race, color, religion, national origin, ancestry, sex, age, condition of physical or mental disability, marital status or political affiliation, in compliance with all anti-discrimination laws and regulations of the United States of America and the State as they now exist or may hereafter be amended.
- (b) Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, ancestry, national origin, condition of physical or mental disability, marital status or political affiliation. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- (c) Contractor hereby assures that it will comply with the Civil Rights Act of 1964, 42 USC §, § 2000e through 2000e-17, to the end that no person shall, on grounds of race, religion, color, sex, national origin, condition of physical or mental disability, marital status or political affiliation be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under this Contract or under any project, program, or activity supported by this Contract.
- (d) To the extent applicable, Contractor shall deal with its subcontractors, bidders or vendors without regard to or because of race, color, religion, ancestry, national origin, sex, age, or condition of physical or mental disability, marital status or political affiliation as required by all applicable anti-discrimination laws and regulations of the United States and the State as they now exist or may hereafter be amended.
- (e) Contractor shall allow authorized County representatives access to its employment records during regular business hours to verify compliance with these provisions when so requested by the Director.

- (f) If County finds that any of the above provisions have been violated, the same shall constitute a material breach of contract upon which County may determine to cancel, terminate, or suspend this Contract. While County reserves the right to determine independently that the anti-discrimination provisions of this Contract have been violated, in addition, a determination by the State Fair Employment and Housing Commission or the Federal Equal Employment Opportunity Commission that Contractor has violated State or Federal anti-discrimination laws or regulations shall constitute a finding by County that Contractor has violated the anti-discrimination provisions of this Contract.
- (g) The parties agree that in the event Contractor violates the anti-discrimination provisions of this Contract, County shall, at its option, be entitled to a sum of Ten Thousand Dollars (\$10,000) pursuant to California *Civil Code* Section 1671 as liquidated damages in lieu of canceling, terminating, or suspending this Contract.

§ 205. Wage and Hour Laws. The Contractor assures and certifies that it shall comply with all State and Federal wage and hour laws, including but not limited to the Fair Labor Standards Act, as amended. The Contractor shall indemnify, defend, and hold harmless the County, its agents, officers and employees from any and all liability including, but not limited to, wages, overtime pay, liquidated damages, penalties, court costs, and attorneys' fees arising under any wage and hour law including, but not limited to, the Federal Fair Labor Standards Act, as amended, for services performed by the Contractor employees for which the County may be found jointly or solely liable.

§ 206. Safety and Working Conditions. Applicable local, State and Federal health and safety standards shall be observed. If a participant or Contractor employee is in a position not covered under the Occupational Health and Safety Act of 1970, as amended (29 USC § 651 *et seq.*) and/or the California Occupational Safety and Health Act, as amended (*Cal. Labor Code* § 6300 *et seq.*), Contractor assures that such participant or employee will not be required or permitted to work, be trained, or receive services under working conditions which are unsanitary, hazardous or otherwise detrimental to a the person's health or safety.

§ 207. Employment Eligibility Verification.

- (a) The Contractor warrants and certifies that it fully complies with all Federal, state and local statutes, ordinances, and regulations regarding the employment eligibility of aliens and others, and that all persons performing services under the contract are eligible for employment in the United States. The Contractor shall indemnify, defend and hold the County harmless from any employer sanctions or other liability, which may be assessed against the County by reason of the Contractor's failure to comply with the foregoing.
- (b) The Contractor represents that it has secured and retained all required documentation verifying employment eligibility of its personnel. The Contractor shall secure and retain verification of employment eligibility from any new personnel and, to the extent applicable, participants participating in or receiving services under this contract, in accordance with applicable provisions of law.

§ 208. Drug Free Workplace Compliance. The Contractor hereby warrants and certifies that it shall comply with California Drug-Free Workplace Act of 1990 (*Cal. Gov. Code § 8350 et seq.*), as amended, including provision of the requisite certification as set forth therein; and the federal Drug-Free Workplace Act of 1988; including its implementing regulations (29 CFR Part 98, commencing with §98.600).

§ 209. Selective Service Compliance. The Contractor shall ensure that participants comply with Section 167(a)(5) of the Military Selective Service act (50 USC Appx. §§ 451 *et seq.*) and other eligibility requirements applicable to the program under which the Participant is enrolled.

§ 210. Contractor's Warranty of Adherence to County's Child Support Compliance Program.

- (a) The Contractor acknowledges that the County has established a goal of ensuring that all individuals who benefit financially from the County through contract are in compliance with their court-ordered child, family and spousal support obligations, if any, in order to mitigate the economic burden otherwise imposed upon the County and its taxpayers.
- (b) As required by the County's Child Support Compliance Program (County Code Chapter 2.200) and without limiting the Contractor duty under this contract to comply with all applicable provisions of law, the Contractor warrants that it is now in compliance and shall during the term of this contract maintain compliance with employment and wage reporting requirements as required by the Federal Social Security Act (42 U.S.C. § 653a) and California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholding Orders or Child Support Services Division (CSSD) Notices of Wage and Earnings Assignment for Child, Family, or Spousal Support, pursuant to *Code of Civil Procedure* Section 706.031 and *Family Code* Section 5246(b).

§ 211. Acknowledgment of County's Commitment to Child Support Enforcement. The Contractor acknowledges that the County places a high priority on the enforcement of child support laws and the apprehension of child support evaders. The Contractor understands that it is the County's policy to encourage all County contractors to voluntarily post the County's "L.A.'s Most Wanted: Delinquent Parents" poster in a prominent position at the contractor's place of business. The County's District Attorney and/or Department of Child Support Services will supply the Contractor with the poster to be used.

§ 212. Conflict of Interest/Contracts Prohibited.

- (a) The Contractor represents and warrants that no County employee whose position enables him/her to influence the award of this Agreement, and no spouse or economic dependent of such employee, is or shall be employed in any capacity by the Contractor, or shall have any direct or indirect financial interest in this Agreement.
- (b) The Contractor represents and warrants that it is aware of, and its authorized officers have read, the provisions of Los Angeles County Code Chapter 2.180 entitled "Contracting with Current or Former County Employees," and that

execution of this Agreement will not violate those provisions. Anyone who is a former employee of the County at the time of execution of this Agreement or who subsequently becomes affiliated with the Contractor in any capacity shall not participate in the provision of services provided under this Agreement or share in the profits of Contractor earned for a period of one year from the date he/she separated from County employment.

- (c) The Contractor agrees to establish, maintain, implement, and enforce standards of ethical conduct for all its employees. Such standards shall include, but not be limited to, the prohibition against:
 - (1) Solicitation or receipt of bribes and/or solicitation or receipt of illegal gratuities;
 - (2) Participating in matters affecting an employee's own financial interests or the financial interests of other specified persons or organizations;
 - (3) Receipt of gifts or giving of gifts to superiors by offerors or bidders;
 - (4) Concealing, mutilating or destroying public records;
 - (5) The participation in the appointment or promotion of relatives;
 - (6) Failing to account for public money; and
 - (7) Conspiracy to commit an offense against or to defraud the County of Los Angeles, the State of California, or the federal government. Contractor certifies that such standards shall be adopted and implemented prior to execution of this Agreement.
- (d) Contractor shall provide training of its standards of ethical conduct to all of its employees (including members of its governing body and administrative staff), initialing upon hiring/appointment and thereafter on a periodic basis; provided, however, that such training is provided at least on an annual basis.
- (e) The Contractor agrees to indemnify and hold the County, its officers, employees and agents harmless from any loss, damage, or liability (including without limitation disallowed costs) resulting from a violation by the Contractor, its officers, employees and agents of this section.

§ 213. Lobbying.

- (a) The Contractor certifies that no funds, materials, property or services provided directly or indirectly under the terms of this contract shall be used for or to promote any partisan or non-partisan political activity; support or defeat any pending legislation or administrative regulation; or for any sectarian purpose or activity.

- (b) The Contractor certifies that each County lobbyist as defined in Los Angeles County Code § 2.160.010, retained by Contractor, shall fully comply with the County Lobbyist Ordinance, Los Angeles County Code Chapter 2.160. Failure on the part of any County lobbyist retained by Contractor to fully comply with the County Lobbyist Ordinance shall constitute a material breach of this contract upon which County may immediately terminate or suspend this contract.

§ 214. County Layoffs. Should the Contractor require additional or replacement personnel after the effective date of this contract, the Contractor agrees to give due consideration for such employment openings to qualified permanent County employees who are targeted for layoff or qualified former County employees who are on a re-employment list during the life of this contract.

§ 215. GAIN/GROW Program Participants. Should the Contractor require additional or replacement personnel after the effective date of this contract, the Contractor agrees to give due consideration for such employment openings to participants in the County's Department of Public Social Services' Greater Avenues for Independence (GAIN) and/or General Relief Opportunities for Work (GROW) Programs who meet Contractor's minimum qualifications for the open position. Upon request from Contractor, the County will refer GAIN/GROW participants by job category to the Contractor for consideration.

§ 216. Nepotism. The Contractor certifies that it shall not hire nor permit the hiring of any person in a position funded under this contract if a member of the person's immediate family is employed in an administrative capacity by the Contractor. For the purpose of this Section, the term "immediate family" means spouse (common law or otherwise), child, mother, father, brother, sister, brother-in-law, sister-in-law, son-in-law, daughter-in-law, mother-in-law, father-in-law, aunt, uncle, niece, nephew, step-parent, step-child, or such other relationship which would give rise to a substantial appearance of impropriety if the person were to be hired by the CONTRACTOR. The term "administrative capacity" means persons who have overall administrative responsibility for a program, including but not limited to selection, hiring, or supervisory responsibilities.

§ 217. Administrative and Personnel Procedures. Contractor warrants that it has adopted, shall retain, and make available upon request from the County, the following documents and amendments thereto:

- (a) Contractor financial and accounting procedures, which incorporate Generally Accepted Accounting Principles (GAAP). Contractor shall also adhere to applicable requirements of OMB Circular A-133.
- (b) Contractor personnel policy, which incorporates due process protection of standard personnel procedures, and which the Contractor agrees to abide by in the performance of this contract.

§ 218. Other Agreements.

- (a) A copy of any agreements between the Contractor and other public or private organizations which directly impact activities funded under this contract shall be kept on file at the Contractor's offices and shall be provided to the County upon

request. The Contractor shall also notify the County of any default, termination, or finding of disallowed costs under these agreements.

- (b) The Contractor warrants that no other funding source will be billed for services that are provided and paid for by the County under this contract.

§ 219. Notification of Federal Earned Income Credit. With thirty (30) days of execution of this contract, the Contractor certifies that it shall notify its employees, and shall require each subcontractor, if any, to notify its employees, that they may be eligible for Federal Earned Income Credit under the Federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in *Internal Revenue Service Notice 1015*.

§ 220. Activities Prohibited. The Contractor certifies that:

- (a) No currently employed worker shall be displaced by any participant (including partial displacement such as a reduction in the hours of non-overtime work, wages, or employment benefits).
- (b) No participant shall be employed or job opening filled: (1) when any other Individual is on layoff from the same or any substantially equivalent job, or (2) when the employer has terminated any regular employee without cause or otherwise reduced its workforce with the intention of filling the vacancy so created by hiring a participant whose wages are subsidized under the DRP.

§ 221. Cost-of-Living Adjustments. Subject to applicable Federal and State law, and to applicable provisions contained in collective bargaining agreements, if any, in effect on the date of execution of this contract, the Contractor agrees to restrict cost-of-living adjustments (COLAs) to its employees during the term of this contract to the lesser of:

- (a) The average salary cost-of-living adjustment granted to County employees by the Board of Supervisors as of April 1st of the prior year, or
- (b) The Consumer Price Index for all Urban Consumers (CPI-U) as originally released by the United States Department of Labor, Bureau of Labor Statistics/Western Region, Los Angeles-Long Beach, Anaheim area. In the event fiscal circumstances ultimately prevent the Board of Supervisors from approving an increase in employee salaries, the Contractor and its employees shall also experience no COLAs.

§ 222. Limitation on Corporate Acts. The Contractor shall not amend its articles of incorporation or by laws, move to dissolve or transfer any assets derived from funds provided under Section 3 of the foregoing contract, or take any other steps which may materially affect the performance of this contract without first notifying the County in writing. The Contractor shall notify the County immediately in writing of any change in the Contractor's corporate name.

§ 223. Contractor's Acknowledgment of Recycled-Content Paper Use. Consistent with the Board of Supervisor's policy to reduce the amount of solid waste deposited in County landfills, the Contractor agrees to use recycled-content paper to the maximum extent possible in the preparation and duplication of contract documents.

§ 224. Sectarian Activities. Contractor certifies that this Agreement does not provide for the advancement or aid to any religious sect, church or creed, or sectarian purpose nor does it help to support or sustain any school, college, university, hospital or other institution controlled by any religious creed, church or sectarian denomination whatever, as specified by Article XVI, Section 5 of the Constitution, regarding separation of church and state.

§ 225. Quality Assurance Plan. The County or its agent will evaluate Contractor's performance under this agreement on not-less-than an annual basis. Such evaluation will include assessing the Contractor's compliance with all contract terms and performance standards. Contractor deficiencies which the County determines are severe or continuing and that may place performance of the agreement in jeopardy if not corrected will be reported to the Board of Supervisors. The report will include improvement/corrective action measures taken by the County and Contractor. If improvement does not occur consistent with the corrective action measures, County may terminate this agreement or impose other penalties as specified in this agreement.

§ 226. Compliance with Tax Regulations. Contractor certifies that this contractor has:

- (a) Paid all Federal and State payroll taxes through the end of the calendar quarter preceding the date of the contract;
- (b) Made all tax deposits required by Federal and State laws through the month preceding the date of the contract;
- (c) Complied with all the rules and regulations of the Federal and State Employer Tax Guide (W-2 and W-4); and
- (d) Complied with all payroll tax rules and regulations of the State of California.

§ 227. General Grievance Procedures.

Contractor shall develop grievance procedures for both Program staff and participants in accordance with applicable Program regulations, State and local laws, rules, and regulations. The Contractor also agrees to process all complaint/grievances in accordance with its adopted grievance procedures and to provide the County with an updated copy of these procedures when they are revised. All procedures must be exhausted at the local level in an effort to resolve a complaint/grievance. The Contractor also assures and agrees that it will be bound by decisions issued under the County/Program participant grievance procedures.

- (a) Contractor shall maintain and operate procedures for receiving, investigating and responding to user complaints. Within fifteen (15) business days after the Contract's effective date, the Contractor shall provide the COUNTY with the Contractor's policy for receiving, investigating and responding to user complaints.
- (b) If, at any time, the Contractor wishes to change their user complaint policy, the Contractor shall submit changes to the COUNTY.

- (c) The Contractor shall preliminarily investigate all user complaints and notify the COUNTY of the status of the investigation within five (5) business days of receiving the complaint.
- (d) When user complaints cannot be resolved informally, a system of follow-through shall be instituted which adheres to formal plans for specific actions and strict time deadlines.
- (e) Copies of all written complaint responses shall be sent to the COUNTY five (5) business days of mailing to the complainant.

§ 228. Compliance with Jury Service Program

- (a) This contract is subject to the provisions of the County's ordinance entitled Contractors Employee Jury Service ("Jury Service Program") as codified in Sections 2.203.010 of the Los Angeles County Code.
- (b) **Written Employee Jury Service Policy.**
 - (1) Unless Contractor has demonstrated to the County's satisfaction either that Contractor is not a "Contractor" as defined under the Jury Service Program (Section 2.203.020 of the County Code) or that Contractor qualifies for an exception to the Jury Service Program (Section 2.203.070 of the County Code), Contractor shall have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis no less than five days of regular pay for actual jury service. Unless Contractor has demonstrated to the County's satisfaction either that Contractor is not a "Contractor" as defined under the Jury Service Program (Section 2.203.020 of the County Code) or that Contractor qualifies for an exception to the Jury Service Program (Section 2.203.070 of the County Code), Contractor shall have and adhere to a written policy that provides no less than five days of regular pay for actual jury service. The policy may provide that Employees deposit any fees received for such jury service with the Contractor or that the Contractor deduct from the Employee's regular pay the fees received for jury service.(2) For the purpose of this Section "Contractor" means a person, partnership, corporation or other entity which has a contract with the County or a subcontract with a County contractor and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more County contracts or subcontracts. "Employee" means any California resident who is a full time employee of Contractor. "Full Time" means 40 hours or more worked per week or a lesser number of hours if: 1) the lesser number is recognized industry standard as determined by the County, or 2) Contractor has a long-standing practice that defines the lesser number of hours as full-time. Full-time employees providing short-term, temporary services for 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program.

(2) If Contractor uses any subcontractor to perform services for the County under the Contract, the subcontractor shall also be subject to the provisions of this Section. The provisions of this Section shall be inserted into any such subcontract agreement and a copy of the Jury Service Program shall be attached to the agreement.

(3) If Contractor is not required to comply with the Jury Service Program when the contract commences, Contractor shall have a continuing obligation to review the applicability of its "exception status" from the Jury Service Program, and Contractor shall immediately notify the County if Contractor at any time either comes within the Jury Service Programs Definition of "Contractor" or if Contractor no longer qualifies for an exception to the program. In either event, Contractor shall immediately implement a written policy consistent with Jury Service Program. The County may also require, at any time during the Contract and at its sole discretion, that Contractor demonstrate to the County's Satisfaction that contractor either continues to remain outside of the Jury Service Program's definition of "Contractor" and/or that Contractor continues to qualify for an exception to the Program.

(4) Contractor's violation of this Section of the contract may constitute a material breach of Contract. In the event of such a material breach, County may, in its sole discretion, terminate the Contract and/or bar Contractor from the award of future County contracts for a period of time consistent with the seriousness of the breach.

§ 229. Safely Surrendered Baby Law:

- (a) **Notice to Employees Regarding the Safely Surrendered Baby Law:** The Contractor shall notify and provide to its employees, and shall require each subcontractor to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby. The fact sheet is set forth in Attachment C of this Contract and is also available on the Internet at www.babysafela.org for printing purposes.
- (b) **Contractor's Acknowledgment of County's Commitment to the Safely Surrendered Baby Law.** The Contractor acknowledges that the County places a high priority on the implementation of the safely Surrendered Baby Law. The Contractor understands that it is the County's policy to encourage all County Contractors to voluntarily post the County's "Safely Surrendered Baby Law" poster in a prominent position at the Contractor's place of business. The Contractor will also encourage its Subcontractors, if any, to post this poster in a prominent position in the Subcontractor's place of business. The County's Department of Children and Family Services will supply the Contractor with the poster to be used.

§ 300. INDEPENDENT CONTRACTOR.

§ 301. Independent Contractor. The Contractor shall at all times be acting as an independent contractor. This contract is not intended, and shall not be construed to create the relationship of agent, servant, employee, partner, joint venture, or association, as between the County and the Contractor. Contractor understands and agrees that all of Contractor personnel furnishing services to the County under this Contract are employees solely of the Contractor and not of the County for all purposes including but not limited to workers' compensation liability. The Contractor shall bear the sole responsibility and liability for furnishing workers' compensation benefits to any Contractor personnel for injuries arising from or connected with services performed under this Contract.

§ 302. Limitations. As an independent contractor, Contractor has no power or authority to bind the County to any obligations, agreements or contracts.

§ 400. CONTRACT ADMINISTRATION.

The County Project Director shall have full authority to act for the County in the administration of this Contract consistent with the provisions contained herein and within the authority granted DCSS by the Board of Supervisors.

§ 500. PROVISION OF SERVICES.

§ 501. Services. The Contractor shall perform all services under the terms of this Contract in accordance with the Statement of Work, attached to the Contract as Exhibit B and incorporated herein by this reference, at a level of performance as determined by the County.

§ 502. Non-Authorized Participants. The Contractor agrees that all costs incurred which are related to a participant who does not qualify under the eligibility requirements of the DRP or the services detailed in Exhibit B shall be the sole responsibility of the Contractor.

§ 600. COMPENSATION AND METHOD OF PAYMENT.

Method Of Compensation. Payments shall be made only after receipt, review, and approval of invoices by the County Project Director, or his designee. Invoices and any necessary supporting documentation as required by the County Project Director or his designee shall be submitted to Community and Senior Services no later than the 25th calendar day of the month.

Request for Advance Payment. To the extent approved by County Counsel, cash advances, not to exceed one sixth (1/6) of the Contractor annual allocation set forth in Section 3 of the primary document, may be provided to the Contractor. Upon request by the Contractor in the form and manner prescribed by the County Project Director, the County may, at the sole discretion of the County Project Director, make advance payments, for anticipated and necessary program expenditures.

Return of Advanced Funds. Upon completion or termination of this contract, the Contractor shall return any advanced funds, which exceed payments due the Contractor, if any, within thirty (30) days of completion or termination of the contract.

Reimbursement for Actual Expenditures. The Contractor shall request reimbursement for actual expenditures incurred during the program year, not to exceed budgeted amounts for which the Contractor has adequate supporting documentation of such expenditures.

Discrepancies in Payments. If any audit conducted pursuant to § 801 by a certified public account, state, county and or federal government find that:

- (a) The Contractor's actual eligible costs incurred in providing services under this contract are lower than the payments made to Contractor by County pursuant to this contract and/or finds costs which are not reimbursable in accordance with applicable Federal and State regulations and directives relating thereto, then contractor shall repay the County the difference and/or the non-reimbursable cost by cash payment
- (b) If such audit finds that the Contractor's actual eligible cost incurred in providing services under this contract are higher than the payments made to contractor by county pursuant to this contract then county shall pay the difference to contractor provided that the total payment to contractor shall not exceed the total contract amount set fourth in Section 3 of the 3 page-document at the beginning of this contract.

State/County Funding. (If Applicable) Contractor recognizes that all funding for services to be provided by Contractor pursuant to this Agreement is subject to the terms and conditions contained in this year's agreement between State and County. County and Contractor therefore agree that the terms and conditions of the aforementioned agreement between County and State are binding upon Contractor to the extent such terms and conditions incorporated herein are applicable to Contractor's performance of this Agreement.

§ 700. FISCAL ACCOUNTABILITY.

§ 701. Fiscal Policies/Procedures. Contractor shall adhere to strict fiscal and accounting standards and shall comply with Title 29 Code of Federal Regulations (CFR) Part 97 - Uniform Administrative Requirements for State and Local Governments, the Cost Principles of the Federal Office of Management and Budget (OMB) Circular A-21 for educational institutions, OMB Circular A-87 for state, local and Indian tribe governments, OMB Circular A-122 for non-profit organizations, OMB Circular A-102 for grants and cooperative contracts with state and local government agencies, OMB Circular A-133 for audits of states, local governments and non-profit organizations, and OMB Circular A-110 for uniform administrative requirements for grants and contracts with institutions of higher education, hospitals, and other non-profit organizations.

§ 702. Accounting. The Contractor shall establish and maintain on a current basis an adequate accounting system in accordance with generally accepted accounting principles and standards. The Contractor should maintain their accounting system on an accrual basis of accounting.

§ 703. Commingling of Funds. Funds disbursed pursuant to this contract shall be used exclusively for services funded under this contract and shall not be commingled with any other monies of the Contractor, unless a written waiver is obtained from the County.

§ 704. Allegations of Fraud and/or Abuse. In the event of allegations of fraud or abuse, the County reserves the right to withhold ten percent (10%) of the contract amount or the amount of the final request for payment, whichever is greater, on a completed program until a determination is issued in writing by the County Project Director that withheld funds should be released to the Contractor. Such written determination shall not supersede or replace the final report.

§ 705. Disallowed Costs. If the Contractor fails to return unexpended funds or funds spent for disallowed costs related to any DCSS contract it has with the County, County will withhold payment(s) to be made to Contractor under this contract

§ 706. Staff Travel. Contractor shall not incur any expenditure for travel outside of Los Angeles County without prior written approval of COUNTY PROGRAM DIRECTOR, or his designee. Such expenditures are limited to direct program costs, training, volunteer and staff mileage and parking reimbursement.

§ 800. AUDITS, REPORTS, RECORDS, & DOCUMENTATION.

§ 801. Audit Rights. The Contractor shall establish and maintain a financial management system, which provides for adequate control of DRP funds and other assets; insures adequacy of financial data; and provides for operational efficiency and adequate internal controls. Failure to comply with this section may, in addition to other remedies available to the County, result in withholding of payment to the Contractor or termination or suspension of this Contract in accordance with its terms. Furthermore, final payment to the Contractor shall not be made until Contractor has, in the sole determination of the County, fully complied with all requirements contained in this Section.

- (a) The Contractor shall obtain and finance annually (at County fiscal year-end) an independent audit in compliance with *CSS DRP Bulletin 2003:01 Financial Audit Requirements*.
 - (1) Contractor shall obtain an independent audit of each fiscal year in which funding is received under this Contract.
 - (2) The audits required by this Section shall be submitted within one (1) month after completion but in no event later than six (6) months after the end of the County fiscal year.
 - (3) To the extent such audit contains findings and/or recommends corrective action with respect to cited deficiencies, improprieties, and/or questionable costs or activity, Contractor shall also present with the audit a detailed corrective action plan which shall be implemented prior to final payment due the Contractor for any given fiscal year. Said corrective action plan shall be subject to County approval prior to implementation.
- (b) The Contractor shall allow authorized County, State and Federal representatives to have full access to the Contractor facilities and all related DRP documentation and other physical evidence for the purposes of auditing, evaluation, inspection,

and monitoring of the program set forth in this contract, including the interviewing of the Contractor staff and program participants during normal business hours.

- (c) The Contractor shall take all actions necessary to enable any of the County, State, and/or Federal representatives to clearly determine whether the Contractor is properly performing its contractual obligations, especially in relation to payments received.
- (d) Failure by the Contractor to comply with the requirements of this Section shall constitute a material breach of contract upon which the County may cancel, terminate, or suspend this contract.

§ 802. Records.

- (a) The Contractor shall make any and all DRP-related records, reports, participant files, and other documentation and physical evidence, in addition to documents required by this contract, as may reasonably be requested by the County, available for inspection and audit by any Federal, State, or County agency, upon request, for four (4) Years. In the event of litigation, unresolved audits and/or unresolved claims, the Contractor agrees to retain all such records, reports, participant files, and other documentation and physical evidence beyond the four-year period, until all such litigation, audits, and claims have been resolved.
 - (1) The Contractor shall inform the County in writing of the exact location where all records, reports, participant files, and other documentation and physical evidence are to be retained within thirty (30) days of the beginning date of this contract. The contractor shall inform the County in writing of any location changes within ten (10) days from the date the records; reports, participant files, and other documentation and physical evidence are moved. Any transfer of the records, reports, participant files and other documentation beyond the boundaries of the County shall require prior written approval by the County.
 - (2) If the Contractor ceases operations prior to five (5) years from the beginning date of the term of this contract or before all litigation, audits and claims have been resolved, the Contractor shall provide the name, address, and telephone number of the Contractor representative plus an inventory of all such records, reports, participant files, and other documentation and physical evidence and either:
 - (2.a.) Notify the County where the records, reports, participant files, and other documentation shall be stored and how they will be made available upon request in a timely fashion, or
 - (2.b.) Deliver all the documentation to a location designated by the County.
- (b.) The Contractor agrees to maintain an official contract file, which contains at least the signed contract and any modification and/or amendments to the contract.
- (c) The Contractor shall record costs incurred in the discharge of the Contract.

§ 803. Reporting. The Contractor shall submit the following reports for the DRP to the County:

- (a) **Program and Case Information Reports:** One (1) original copy of the program and case information report (if more than one program, submit a program report per program and summary of all programs) are to be submitted no later than the twenty-fifth (25th) day of each month.
- (b) **Fiscal Close-Out Report:** One (1) copy of a final fiscal close-out report, to be submitted in the form and manner designated by the County Project Director, with a deadline to be announced for the **DRP**, including the reporting of expenses and accruals through FY 2005-2006.
- (c) The monthly invoices and closeout reports identified in this **§ 803** shall be sent to:

County of Los Angeles Dispute Resolution Program
Department of Community and Senior Services
3333 Wilshire Blvd., Suite 400
Los Angeles, California 90010-4101
- (d) Program Income. All revenues in excess of costs for each program, which have been properly earned, including program interest, are to be treated as program income. The Contractor shall be responsible for tracking all contract revenues and expenditures for each program, including submission of the following:
 - (1) An **Income Statement Report** is also generated by the Contractor on contract revenues versus expenditures. This is submitted to the DCSS Financial Management Division with the contract closeout report on or before October 15 following the end of the term of this Contract. The purpose of this report is to identify the amount of Program Income. The Income Statement Report should be amended if adjustments are required due to any new information received after the filing of the report.
 - (2) A **Plan for Disposition of Program Income**, which must be submitted by the Contractor to the County within thirty (30) days after the Income Statement Report, is due. For Program Income, cost reimbursement rules apply. Program Income must be spent on line items identified in the plan, unless the plan is officially amended. This plan will be reviewed by the County for final approval. The Plan should be amended as soon as possible if the Income Statement Report is amended.
 - (3) Within thirty (30) days after the scheduled completion date of an approved Plan for Disposition of Program Income, the Contractor must submit a **Final Report on Disposition** to the County.
 - (4) If the Final Report on Disposition is not submitted on the scheduled date, the County shall either extend the completion date, renegotiate the Plan for Disposition of Program Income, or recapture the balance of the unexpended Program Income.

- (e) **Cost Allocation Plan (CAP) For Cost Reimbursement Activities.** A Cost Allocation Plan (CAP), which is a requirement for **DRP**, must be submitted as a reference document to this Contract to support the distribution of any joint costs related to the activities of this Contract. All costs included in the CAP will be supported by formal accounting records, which will substantiate the propriety of eventual charges. Budget allocations are not adequate documentation. The Contractor will retain on file all documentation supporting the methodology utilized to determine the reasonableness of the costs allocated to the cost-reimbursement activities. The County's contract monitor will test the Contractor's Cost Allocation Plan during the normal course of monitoring to assure its sufficiency. Failure to comply may result in no payment, or a partial or reduced payment until the Contractor is in compliance. In addition, failure to comply may result in contract termination.
- (f) **Property/Capital Expenditures.** All property costing three hundred dollars (\$300.00) or more purchased with Dispute Resolution Program funds requires prior written permission from the County Project Director and may be depreciated and tagged and tracked as property of the Los Angeles County, Community and Senior Services.
- (g) **Nonexpendable Property.** The Contractor shall maintain a record for each item of nonexpendable property acquired for this program with Dispute Resolution Program monies. Nonexpendable property shall include tangible personal property including but not limited to, office equipment, as well as any funds derived from the sale or disposition of non-expendable property.
 - (1) Any utilization of funds derived from the sale or disposition of nonexpendable property must have prior approval of the County and otherwise comply with all applicable laws and regulations.
 - (2) In case of termination of this Contract, the County reserves the right to determine the final disposition of said nonexpendable property acquired for this program. Said disposition may include but is not limited to, taking possession of said nonexpendable property.

§ 804. Public Records/Confidentiality.

- (a) Contractor shall maintain the confidentiality of any information regarding a Participant(s), and the immediate family of any applicant or Participant that identifies or may be used to identify them and which may be obtained through application forms, interviews, tests, reports from the public agencies or counselors, or any other source. The Contractor shall not divulge such information without the permission of the Participant, except for disclosures required by court process, order, or decree, and except that information which is necessary for purposes related to the performance or evaluation of the Contract may be divulged to parties having responsibilities under the Contract for monitoring or evaluating the services and performances under the Contract and

to governmental authorities to the extent necessary for the proper administration of the program.

- (b) The Contractor shall notify the County of any and all requests for release of information at least five (5) business days prior to release of said information. The Contractor shall not release said information without the County's approval.

§ 805. Public Statements. The Contractor shall indicate in any and all press release(s) or any statement to the public related to the program that it is "Funded by the Los Angeles County Department of Community & Senior Services through the California Dispute Resolution Programs Act." All statements must also state the Contractor is an EEOC employer.

§ 806. Joint Funding and Revenue Disclosure Requirement. By its execution of this Contract, Contractor certifies, unless waived by County, that it has previously filed with the DCSS a written statement listing all revenue received, or expected to be received, by Contractor from Federal, State, City or County sources, or other governmental or non-governmental agencies, and applied, or expected to be applied, to offset in whole or in part any of the costs incurred by Contractor in conducting current or prospective projects or business activities, including, but not necessarily limited to, the project or business activity which is the subject of this Contract. Such statement shall reflect the name and a description of funding provided by each and every governmental or non-governmental agency to each such project or business activity, and the full name and address of each such agency.

During the term of this Contract, Contractor shall prepare and file a similar written statement each time it receives funding from any governmental or non-governmental agency, which is additional to that revenue, disclosed in Contractor's initial revenue disclosure statement hereunder. Such statement shall be filed with the DCSS within fifteen (15) business days following receipt of such additional funding. The County shall not pay for any services provided by Contractor, which are funded by other sources. If the Contractor is a governmental agency, it shall be exempt from disclosure requirements of this Section, exempt as it pertains to other sources of funding for the Dispute Resolution Program. All other provisions of this section shall apply. Failure of Contractor to comply with the requirements of this paragraph shall constitute a material breach of contract upon which the County or his designee may cancel, terminate, or suspend this Contract.

§ 900. INDEMNIFICATION AND INSURANCE

§ 901. Indemnification.

- (a) The Contractor shall indemnify, defend and save harmless the County, its public officials, officers, employees and agents from and against any and all liability or expense, including defense costs and legal fees, and claims for damages of any nature whatsoever, including but not limited to, bodily injury, death, personal injury, or property damage, arising out of or connected with Contractor's operations or its services hereunder, or arising from the negligent acts or omissions of the Contractor in the performance of this Contract, including any workers' compensation suits, liability, or expense, arising from or connected with services performance by or on behalf of the Contractor by any person pursuant to this Contract.

- (b) The Contractor shall also defend and indemnify the County from any liability arising from the performance of this Contract as a result of an audit of funds received under this Contract due to the negligent acts or omissions of the Contractor in the performance of this Contract

§902. Insurance. Without limiting the Contractor indemnification of the County, and except as otherwise provided herein, the Contractor shall provide and maintain at its own expense, and require all of its subcontractors to maintain, during the term of this CONTRACT the following program(s) of insurance covering its operations as applicable hereunder in this agreement. Such insurance, which shall be provided by insurer(s) satisfactory to the County's Risk Manager, shall be primary to and not contributing with any other insurance or self-insurance programs maintained by the County. Proof of insurance shall be delivered to DCSS, Dispute Resolution Program, 3333 Wilshire Blvd., Suite 400, Los Angeles, CA 90010-4101 (specifying the Programs Manager as DCSS Contractor Administrator and DCSS as the Contract Department on or before the effective date of the contract.) Such evidence shall specifically identify this contract and contain express conditions that the County be given at least 30 days advance written notice of any modification or termination of any program of insurance. Failure on the part of the Contractor to procure or maintain insurance shall constitute a material breach upon which the County may immediately terminate or suspend this CONTRACT.

All insurance required hereunder shall be primary with respect to any insurance maintained by the County and shall not call on the County's program for contributions. Program(s) of insurance shall include:

- (a) **General Liability:** A program, including but not limited to comprehensive general liability and independent Contractor coverage, and comprehensive general liability, with a combined single limit of not less than \$1 million per occurrence and \$2 million general aggregate. Such insurance shall name the County as additional insured. Contractor shall be required to provide County with certified copies of the current certificates of insurance and policy endorsement pages, both naming County of Los Angeles as the additional insured as its interests appear for all contractual obligations with the Contractor (named insured) and include Contractor and the County's name/address and the signature/date of the insurance representative.
- (b) **Automotive Liability:** A program of insurance with a limit of liability of not less than \$1 million for each accident. Such insurance shall include coverage for all "owned", "hired" and "non-owned" vehicles, or coverage for "any auto". Contractor shall be required to provide County with certified copies of the current certificates of insurance and policy endorsement pages and include Contractor and the County's name/address and the signature/date of the insurance representative.
- (c) **Workers' Compensation:** A program of workers' compensation insurance in an amount and form to meet all applicable requirements of the California Labor Code or by any other state, and which specifically covers all persons providing services by or on behalf of the Contractor, and all participants served by the Contractor, and risks to such persons under this CONTRACT. In

all cases, this insurance shall also include Employers' Liability coverage with limits of not less than \$1 million for each accident and disease for each employee and policy limit.

- (d) **Crime Coverage:** (If Applicable) A comprehensive crime policy in an amount not less than \$50,000 per occurrence against loss of money, securities, other property, as applicable to this agreement, for employee dishonesty, forgery or alteration, theft, disappearance and destruction, computer fraud, or burglary and robbery. Contractor shall be required to provide County with certified copies of the current certificates of insurance and policy endorsement pages, both naming County of Los Angeles as the individual loss payee as its interests appear for all contractual obligations with the Contractor (named insured) and include Contractor and the County's name/address and the signature/date of the insurance representative.
- (e) **Professional Liability:** (If Applicable) Insurance covering liability arising from any error, omission negligent or wrongful act of the Contractor, its officers, employees, agents, or professional consultants with a limit of liability of not less than \$1 million per occurrence and \$3 million aggregate. The coverage shall also provide an extended 2-year reporting period commencing upon termination or cancellation of this CONTRACT.
- (f) **Property Coverage:** Such insurance shall be endorsed naming the County of Los Angeles as loss payee, provide deductibles of no greater than 5% of the property of the property value, and shall include:
 - (1) Real Property and All Other Personal Property: Special form ("all risk") coverage for the full replacement value of County-owned or leased property.

§ 903. Self-Insurance and Self-Insured Retentions. Self-insurance programs are subject to separate approval by the County upon review of evidence of Contractor's financial capacity to respond. Additionally, such programs must provide the County with at least the same protection from liability and defense of suits as would be afforded by first-dollar insurance. The County will consider a self-insured program as an alternative to commercial insurance from the Contractor upon review and approval of the following:

- (a) A formal declaration to be self-insured for the type and amount of coverage indicated. This can be a corporate resolution or a certified statement from a corporate official or an authorized principal of a partnership or a sole proprietorship. Contractor must notify the County immediately of discontinuation or substantial change in the program.
- (b) Contract to provide the County at least the same defense of suits and payment of claims as would be provided by first-dollar commercial insurance.
- (c) Contract to notify the County immediately of any claim, judgment, settlement, award, verdict or change in Contractor's financial condition, which would have a

significant negative effect on the protection, that the self-insurance program provides the County.

- (d) Name, address and telephone number of Contractor's legal counsel and claims representative, respectively, for the self-insurance program.
- (e) Financial statement that gives evidence of Contractor's capacity to respond to claims falling within the self-insured program. Re-submission is required at least annually for the duration of the affected operation or more frequently at County's request. FAILURE TO COMPLY WILL RESULT IN WITHDRAWAL OF COUNTY APPROVAL.

§ 904. Public Entities. (a) To the extent both parties to this Contract are public entities, and this provision is activated in writing by the County in the foregoing Contract, the following provision shall be substituted for **§ 901**, **§ 902** and **§ 903** herein:

In contemplation of the provisions of Section 895.2 of the *Government Code* of the State of California imposing certain tort liability jointly upon public entities solely be reason such entities being parties to a Contract as defined by Section 895 of said Code, the parties hereto, as between themselves, pursuant to the authorization contained in Section 895.4 and 895.6 of said Code, will each assume the full liability imposed upon it, or any of its officers, agents, or employees by law for injury caused by negligent or wrongful act or omission occurring in the performance of this Contract to the same extent that such liability would be imposed in the absence of Section 895.2 of said Code. To achieve the above-state purpose, each party indemnifies and holds harmless the other party solely by virtue of said Section 895.2. The provision of Section 2778 of the *California Civil Code* is made a part hereto as if fully set forth herein. Contractor certifies that it has adequate self-insured retention of funds to meet any obligation arising from this Contract.

§905. Notification of Incidents, Claims, or Suits

- (a) Contractor shall report to County any accident or incident relating to services performed under this contract which involves injury or property damage which may result in the filing of claim or a lawsuit against the Contractor and/or the County. Such a report shall be made in writing within 24 hours of the occurrence.
- (b) Any third party claim or lawsuit filed against Contractor arising from or related to services performed by Contractor under this contract.
- (c) Any Injury to a Contractor Employee which occurs on County property. This report shall be submitted on a County "Non-Employee Injury Report" to the County Manager.
- (d) Any loss, disappearance, destruction, misuse or theft of any kind whatsoever of County property, monies or securities entrusted to Contractor under the terms of this agreement.

§ 906. Compensation for County Costs. In the event that Contractor fails to comply with any of the indemnification or insurance requirements of this Agreement, and such failure to comply results in any costs to County (including cost of obtaining requisite insurance for Contractor), Contractor shall pay full compensation for all costs incurred by County.

§ 907. Insurance Coverage Requirements for Subcontractor. Contractor shall ensure any and all sub-contractors performing services under this Agreement meet the insurance requirements of this Agreement by either:

- (a) Providing evidence of insurance covering the activities of sub-contractors, or
- (b) Providing evidence submitted by sub-contractors evidencing that subcontractors maintain the required insurance coverage. County retains the right to request, and Contractor agrees to provide upon such request, copies of evidence of sub-contractor insurance coverage at any time.

§ 908. Failure to Procure or Maintain Insurance. Failure on the part of the Contractor to procure or maintain insurance or otherwise satisfy the requirements of this **§ 902**, shall constitute a material breach upon which the County may, in its sole discretion, immediately terminate or suspend this Agreement or procure or renew such insurance and pay any and all premiums in connection therewith, and all monies so paid by the County shall be repaid by the Contractor to the County upon demand or the County may set off the cost of the premiums against any monies due to the Contractor from the County.

§1000. NONCOMPLIANCE SANCTIONS/PENALTIES. The CONTRACTOR agrees to comply with the requirements set forth in this contract, and those requirements contained in the Dispute Resolution Program and all applicable directives/bulletins issued by or on behalf of the County, State or Federal government, as applicable. Failure to comply with such requirements shall constitute a material breach of contract upon which the County may cancel, terminate or suspend this contract. Approved sanctions may include, but not be limited to the following: fiscal probation, withholding of payment, reobligation/deobligation of contract funds, or suspension/termination of this contract. Those sanctions, which may be applied, will be dependent upon the circumstance(s) of noncompliance.

§ 1001. Contractor's Performance/Reallocation of Funds. Contractors are expected to perform at optimum capacity in meeting contractual commitments. The minimum levels of performance for all service categories are as follows:

- (a) Grantees projected to achieve more than 100% of their annual contracted goals may be recommended for more than the contractor's current funding level.
- (b) Grantees projected to achieve 80% to 100% of their contract goals will be recommended for no more than their current funding level;
- (c) Grantees projected to achieve 60% to 79% of their contract goals will be recommended for reduced funding equal to the percentage of the goal achieved;
- (d) Grantees projected to achieve less than 60% of their contract goal will not be recommended.

The performance of Contractor will be reevaluated at the end of the third quarter of each fiscal year and reevaluated at the end of the each fiscal year, and funds will be reallocated in accordance with the Dispute Resolution Program. If Contractor is below the achievement level required, funds may be reduced and reallocated to agencies that are overachieving and qualify for grant increases. Additionally, the County at its discretion may reduce the Contractor's annual grant for the following fiscal year to more accurately reflect the Contractor's level of service.

§ 1100. TERMINATION/SUSPENSION/PROBATION.

§ 1101. Termination for Default. Services performed under this contract may be terminated in whole or in part by the County providing to Contractor a written Notice of Default if:

- (a) The Contractor fails to perform the Services within the time specified in this contract or any extension approved by the County,
- (b) The Contractor fails to perform any other covenant or condition of this contract.
- (c) The Contractor fails to make progress so as to endanger its performance under this contract.
 - (1) The Contractor shall have ten (10) calendar days from the date of the Notice of Default in which to cure the Default(s), however, in its sole discretion, the County, through its Project Director, may extend this period or authorize a longer period for cure.
 - (2) Without limitation of any additional rights or remedies to which it may be entitled, if the County terminates all or part of the Services for Contractor Default, the County, in its sole direction, may procure replacement services and the Contractor shall be liable for all excess costs incurred by the County in connection with those replacement services, as determined by the County in its sole discretion.
 - (3) If it is determined that the Contractor was not in Default under the provisions of this contract, or that the Default was excusable, then the rights and obligations of the parties shall be the same as if the Notice of Termination has been issued under **§ 1102** (Termination for Convenience).

§ 1102. Termination for Convenience.

- (a) Services performed under this contract may be terminated in whole or in part at any time the County deems that termination is in its best interest. The County shall terminate Services by delivering to the Contractor a written Termination Notice, which specifies the extent to which Services are terminated and the effective termination date.

- (b) After receiving a Termination Notice under this section, and unless otherwise expressly directed by the county, the Contractor shall take all necessary steps and shall stop Services on the date and to the extent specified in the Termination Notice and shall complete Services not so terminated.
- (c) If the Contractor fails to submit final billing within thirty (30) days of the termination date, the County may determine on the basis of information available to the County, the amount, if any due to the Contractor. After the County makes this determination, it shall pay that amount to the Contractor. The County's determination shall be final.

§ 1103. Termination for Non-Appropriation of Funds. The County's obligation is payable only from funds appropriated for the purpose of this contract. All funds for payments after the end of the current fiscal year are subject to the County's legislative appropriation for this purpose. In the event this contract extends into succeeding fiscal year periods and the Board of Supervisors does not allocate sufficient funds for the next succeeding fiscal year payments, services shall automatically be terminated in accordance with the provisions of **§1102** (Termination for Convenience), as of the end of the then current fiscal year. The County shall make a good faith effort to notify the Contractor in writing of such non-allocation at the earliest time.

§ 1104. Termination for Insolvency. In addition to other provisions provided herein, the County may terminate this contract for Default, as provided in **§1101**, in any of the following events:

- (a) The Contractor becomes insolvent, that is, it has ceased to pay its debts in the ordinary course of business or cannot pay its debts as they become due, whether or not it has committed an act of bankruptcy, and whether or not insolvent within the meaning of the Federal Bankruptcy law.
- (b) The Contractor files a voluntary petition for reorganization or bankruptcy and relief from the automatic stay in bankruptcy is obtained by the County.
- (c) A Receiver or Trustee is appointed for the Contractor, provided that the Receiver or Trustee shall not have been dismissed within thirty (30) days of appointment.
- (d) The Contractor executes an assignment for the benefit of creditors.

§ 1105. Termination for Breach of Warranty to Maintain Compliance with County's Child Support Compliance Program. Failure of Contractor to maintain compliance with the requirements set forth in **§ 210** "Contractor's Warranty of Adherence to County's Child Support Compliance Program" shall constitute default under this contract. Without limiting the rights and remedies available to the County under any other provision of this Contract, failure of Contractor to cure such default within 90 calendar days of written notice shall be grounds upon which County may terminate this contract pursuant to Paragraph 1101 "Termination for Contractor's Default" and pursue debarment of Contractor, pursuant to County Code Chapter 2.202.

§ 1106. Termination for Improper Consideration.

- (a) The County may, by written notice to the Contractor, immediately terminate the right of the Contractor to proceed under this contract if it is found that consideration, in any form, was offered or given by the Contractor, either directly or through an intermediary, to any County officer, employee or agent with the intent of securing the contract or securing favorable treatment with respect to the award, amendment or extension of the contract or the making of any determinations with respect to the Contractor performance pursuant to the contract. In the event of such termination, the County shall be entitled to pursue the same remedies against the Contractor as it could pursue in the event of default by the Contractor.
- (b) The Contractor shall immediately report any attempt by a County officer, employee or agent to solicit such improper consideration. The report shall be made either to the Project Director, the County manager charged with the supervision of the employee or to the county Auditor-Controller's Employee Fraud Hotline at (213) 974-0914 or (800) 544-6861.
- (c) Among other items, such improper consideration may take the form of cash, discounts, service, the provision of travel or entertainment, or tangible gifts.

§ 1107. Suspension of Contract. The County may, by giving notice, suspend all or part of the program operations for sixty (60) days for Contractor failure to comply with the terms and conditions of this contract. The Notice of Suspension, which shall be effective upon the date of posting, shall set forth the only conditions of non-compliance and the period provided for corrective action. Within ten (10) working days from the date of the Notice of Suspension, the Contractor shall reply in writing, setting forth the corrective action(s) which will be undertaken, subject to the County's approval in writing. Failure to reply in accordance with this section may result in termination by the County of all or part of the contract.

§ 1108. Probation.

- (a) The County Project Director may place the Contractor on probationary status when it is determined by the County Project Director for any program(s) herein that the Contractor either:
 - (1) Has demonstrated a consistent and significant lack of achievement of Participant summary goals, or
 - (2) Is out of compliance with County sanction policy guidelines.
- (b) If the Contractor is placed on probationary status, the Contractor shall submit a corrective action plan within ten (10) days of the notice of probationary status. The Contractor's Corrective Action Plan (CAP) must be approved by the County Project Director. The County reserves the right to terminate contract(s) of any contractor on probationary status if the contractor does not submit an acceptable corrective action plan or fails to meet the goals of an approved corrective action plan.

§ 1109. Debarment and Suspension.

- (a) The Contractor certifies that it has not been subject to debarment and suspension under any federal (29 CFR Part 98), State or local grant program and will immediately inform the County of any future debarment or suspension. Said certification, which shall be in a form acceptable to the County, shall be submitted to the County no later than execution of this Agreement by Contractor.
- (b) **Responsible Contractor.** A responsible contractor is a contractor who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the contract. It is the County's policy to conduct business only with responsible contractors.
- (c) **Chapter 2.202 of the County Code.** The Contractor is hereby notified that, in accordance with County Code Chapter 2.202, if the County acquires information concerning the performance of the Contractor on this or other contracts which indicates that the Contractor is not responsible, the County may, in addition to other remedies provided in the Agreement, debar the Contractor from bidding on County contracts for a specified period of time not to exceed three years, and terminate any or all existing contracts the Contractor may have with the County.
- (d) **Non-Responsible Contractor.** The County may debar Contractor if the Board of Supervisors finds, in its discretion, that the Contractor has done any of the following:
 - (1) Violated any term of a contract with the County,
 - (2) Committed any act or omission which negatively reflects on the Contractor's quality, fitness or capacity to perform a contract with the County or any other public entity, or engaged in a pattern or practice which negatively reflects on same,
 - (3) Committed an act or offense which indicates a lack of business integrity or business honesty, or
 - (4) Made or submitted a false claim against the County or any other public entity.
- (e) **Contractor Hearing Board.**
 - (1) If there is evidence that the Contractor may be subject to debarment, the Department will notify the Contractor in writing of the evidence that is the basis for the proposed debarment and will advise the Contractor of the scheduled date for a debarment hearing before the Contractor Hearing Board.
 - (2) The Contractor Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. The Contractor and/or the Contractor's representative shall be given an opportunity to submit

evidence at that hearing. After the hearing, the Contractor Hearing Board shall prepare a proposed decision, which shall contain a recommendation regarding whether the contractor should be debarred, and, if so, the appropriate length of time of the debarment. If the Contractor fails to avail itself of the opportunity to submit evidence to the Contractor Hearing Board, the Contractor may be deemed to have waived all rights of appeal.

- (3) A record of the hearing, the proposed decision and any other recommendation of the Contractor Hearing Board shall be presented to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny or adopt the proposed decision and recommendation of the Hearing Board.

- (f) **Subcontractors.** This § 1109 shall also apply to subcontractors of County contractors.

§ 1200. GENERAL PROVISIONS.

§ 1201. Contract Modifications/Amendments.

- (a) This contract fully expresses the contract of the parties. Any modification or amendment of the terms or conditions of this contract must be by means of a separate written document approved by the County. No oral conversation between any officer, employee or agent of the parties shall modify or otherwise amend this contract in any way.

County may make a unilateral modification to this contract at any time, if required by Federal law or regulations, State law or policy, and/or County policy, within ten (10) working days after receipt of written modification from the Federal, State or County government. Furthermore, to the extent funding for the program is eliminated or otherwise reduced, the County may in its sole discretion modify this contract accordingly.

- (b) **Budget Amendments/Modifications.** Changes on the total contract funding as set forth in section 3 of the contract may be made by contract amendment only. With regard to the movement of funds within the budget, i.e., from one category to another, such movement may not exceed twenty thousand dollars (\$20,000) or fifteen percent (15%) of the Contract, whichever is greater. Such modifications must be mutually agreed upon by the County Project Director or his designee, and CONTRACTOR and must be in the best interests of the County.
- (c) **Program Modifications.** Contractor Requests for modifications, either budgetary or programmatic will not be accepted during the first two (2) months of the contract period, and not more than once in each quarter Thereafter, with the exception of the last quarter when there shall be none, unless a written waiver is requested by CONTRACTOR and granted by the County.

§ 1202. Assignments. No part of this Contract or any right or obligation arising from it shall be assigned without the written consent of the County. Any attempt by the Contractor to assign this Contract shall be void and shall constitute a material breach of this Contract upon which the County may immediately terminate this Contract in accordance with the provisions of **§ 1101** (Termination for Default).

§ 1203. Subcontracting.

- (a) No performance of this contract or any portion thereof shall be subcontracted by the Contractor without the prior written consent of the County Project Director. Any attempt by the Contractor to subcontract any performance of services under this Contract without the prior written consent of the County shall be null and void and shall constitute a material breach of this contract upon which the County may immediately terminate this Contract in accordance with the provisions of **§ 1101** (Termination for Default).
- (b) Contractor request to the County Project Director for approval to enter into a subcontract shall include:
 - (1) A description of the services to be provided by the subcontractor.
 - (2) Identification of the proposed subcontractor and a description of the manner in which the proposed subcontractor was selected, and a statement of the extent of competition, if any, involved in the award of the subcontract.
 - (3) Any other information or certification requested by the County Project Director.
- (c) In the event the County Project Director consents to subcontracting, all applicable provisions and requirements of this contract shall be made applicable to such subcontract. To accomplish this requirement, the Contractor shall include in all subcontracts the following provision:

"This contract is a subcontract under the terms of a prime contract with the County of Los Angeles and shall be subject to all the provisions of such prime contract. All representations and warranties under this subcontract shall inure to the benefit of the County of Los Angeles."
- (d) All subcontracts shall be made in the name of the Contractor and shall not bind nor purport to bind the County. The making of subcontracts hereunder shall not relieve the Contractor of any requirement under this contract, including, but not limited to, the duty to properly supervise and coordinate all the work of the Contractor and any subcontractor. Approval of the provisions of any subcontract by the County shall not be construed to constitute a determination of the allowability of any cost under this contract.
- (e) The Contractor agrees that it shall be held responsible to the County for the performance of any approved subcontract. Subcontracts shall be in writing, with

a copy of each such contract forwarded to the County at or about the time of execution.

- (f) The Contractor shall be solely liable and responsible for any and all payments and other compensation for all subcontractors and the County shall have no liability or responsibility with respect thereto.
- (g) The Contractor shall not assign or subcontract any part or all of its interest in this contract without written approval from the County Project Director.
- (h) All applicable provisions and requirements of this contract shall apply to any subcontracts or agreements. The Contractor agrees that the Contractor shall be held responsible by the County for the performance of any subcontractor(s). Procurement of subcontractors and/or vendor services must be in compliance with appropriate County, State, and Federal regulations, directives, and policies. Subcontracts must be in writing and a copy of each subcontract must be made available upon request.

§ 1204. Repayment. The Contractor agrees to be bound by applicable County and/or Dispute Resolution Program disallowed cost procedures, rules and regulations, and to repay to the County any amount which is found to violate the terms of this contract or applicable Dispute Resolution Program provisions or implementing rules and regulations.

§ 1205. Payment Contingency. Payments by County during the contract period are conditioned by:

- (a) The availability of said Dispute Resolution Program funds, and
- (b) The Contractor meeting performance goals set forth in Exhibit B, Statement of Work. Satisfaction of these conditions shall be determined by the County Project Director.

§ 1206. Acquisition of Supplies and Equipment.

- (a) Equipment. Contractor shall obtain at least three (3) bids in writing prior to purchasing equipment over \$500 per unit in value as approved in the Budget, Exhibit C, and must purchase from the lowest bidder, unless a written waiver is requested by Contractor and granted by the County. In addition, any purchase of equipment \$300 or more per unit shall require prior written approval of the County/State.

All equipment costing over \$300 or having a life expectancy of more than one (1) year shall be properly identified and inventoried as specified by Generally Accepted Accounting Principles (GAAP) and shall be charged at its actual price deducting all cash discounts, rebates, and allowances received by Contractor. Equipment purchases approved in the budget by above provisions will apply to leasing as well as to purchasing of equipment Title to such equipment shall be vested in County/State per program regulations.

- (b) **Purchase and invoice deadlines.** Purchase of equipment or property must be completed prior to the last three (3) months of the Contract period. Contractor must complete all purchases of supplies before the last two (2) months of the contract period. Invoices which have not been submitted for payment prior to the termination date of this Contract must be forwarded to the County's Fiscal Section within sixty (60) business days after the contract termination or they may not be honored. Exceptions to the preceding restrictions/limitations require prior written approval by County Project Director or his designee.
- (c) During this Agreement, where equipment is purchased by Community and Senior Services and furnished to the Contractor to assist in providing services under the terms of this Agreement, said equipment, whether fixed or non-fixed, is to be transferred or returned to the Department at the request of the Director of the Department, or authorized representative.

§ 1207. Notices.

- (a) The appropriate County representative, as set forth in Section 5 of the foregoing contract, is the party to whom the Contractor shall forward all documents, reports, and records as required by this contract.
- (b) Formal notices, demands and communications to be given hereunder by either party shall be made in writing and may be effected by personal delivery or by registered or certified mail, postage prepaid, return receipt requested, and shall be deemed communicated as of the date of mailing.
- (c) If the name and/or address of the person designated to receive the notices, demands or communications changes, the affected party shall notify the other party in writing of such change in accord with this section, within five (5) working days of said change.

§ 1208. Waivers.

- (a) Waivers of the provisions of this contract shall be in writing and signed by the appropriate designee of the County.
- (b) No waiver of a breach of any provision of this contract shall constitute a waiver of any other breach of that provision or of any other provision of this contract.

§ 1209. Validity. The invalidity of any provision of this contract shall not void or affect the validity of any other provision.

§ 1210. Disputes. The Contractor agrees to attempt to resolve disputes arising from this contract by administrative process and negotiation in lieu of litigation. Any dispute concerning a question of fact arising under this contract shall be settled in accordance with County grievance procedures. Contractor shall submit to the County within thirty (30) days of execution of this contract, a grievance procedure, in accordance with applicable Dispute Resolution Program regulations, State and local laws, rules, and regulations. The Contractor also agrees to process all complaint/grievances in accordance with its adopted grievance procedure. All procedures

must be exhausted at the local level in an effort to resolve a complaint/grievance. The Contractor also assures and agrees that it will be bound by decisions issued under the County's Dispute Resolution Program participant grievance procedures. The Contractor shall participate in and be bound by the questioned and/or disallowed costs grievance procedures at the County Dispute Resolution Program level. The grievance procedure shall be as follows:

- (a) Contractor shall request a meeting with the County Project Director or his designee within thirty (30) days from the date of notice of disallowed costs. If the Contractor fails to take this action, the costs become automatically disallowed.
- (b) If agreement cannot be reached with the Contractor regarding the disallowed costs within twenty-one (21) days after the meeting or fifty-one (51) days after the notice of disallowed costs, whichever is the lesser period, the County Project Director shall make a final determination.
- (c) Final determination by the County Project Director shall be made within 72 days from the date of notice of disallowed costs. Contractor shall assure continued performance of this contract during any disputes.

§ 1211. Entire Contract.

- (a) This Exhibit A to the contract consisting of thirty-one (31) pages together with the foregoing contract and other exhibits thereto constitutes the entire, full, complete and exclusive statement of understanding between the parties, which supersedes all previous written or oral agreements and all prior communications between the parties relating to the subject matter of this contract.
- (b) Contractor warrants that it has received a copy of this Exhibit A to this contract and upon execution of this contract, it shall be Contractor's responsibility to retain on file, and to abide by the entire contract.

§ 1212. Captions. The section headings appearing herein shall not be deemed to govern, limit, modify or in any way affect the scope, meaning or intent of these terms and conditions.

§ 1213. Prohibition Against Delegation and Assignment.

- (a) Contractor shall not assign its rights or delegate its duties under this Agreement, or both, whether in whole or in part, without the prior written consent of the County. Any assignment or delegation which does not have such prior County consent shall be null and void. For purposes of this Section, such County consent shall be granted in the County's sole discretion and shall require a written amendment to this Agreement which is formally approved and executed by the parties. Any billings to the County by any delegatee or assignee on any claim under this Agreement, absent such County consent, shall not be paid by County. Any payments by the County to any delegatee or assignee on any claim under this Agreement, in consequence of any such County consent, shall reduce dollar for dollar any claims which the Contractor may have against the County and shall be subject to set-off, recoupment, or other reduction for any claims

which the county may have against the contractor, whether under this Agreement or otherwise.

- (b) Shareholders or partners, or both, of the Contractor may sell, exchange, assign, divest or otherwise transfer any interest they may have therein. However, in the event any such sale, exchange, assignment, divestment or other transfer, including, without limitation, any merger, reverse merger or other corporate reorganization of the contractor, is effected in such a way as to give majority control of the Contractor to any person(s), corporation, partnership or legal entity other than the majority controlling interest therein at the time of execution of this Agreement, then prior written consent thereof by the County's Board of Supervisors shall be required. Any payments by the County to the Contractor on any claim under this Agreement shall not waive or constitute such County consent. Consent to any such sale, exchange, assignment, divestment or other transfer shall be refused only if the County, in its sole judgment, determines that the transferee(s) is (are) lacking in experience, capability and/or financial ability to perform all Agreement services and other work. This in no way limits any County right found elsewhere in this Agreement, including, but not limited to, any right to terminate this Agreement.

§ 1214. Clean Air and Water Acts. To the extent applicable, Contractor shall comply with all applicable standards, orders, or requirements issued under sections 302 of Clean Air Act (42 U.S.C. 1857 (h)), section 508 of the clean Water (33 U.S.C. 1368, Executive Order 11738, and Environmental Protection Agency regulations (40 CFR part 15)). Generally, this provision shall apply to contracts, subcontracts, and subgrants for amounts in excess of \$100,000.

§ 1215. Intellectual Property Provisions.

(a) Federal Funding.

To the extent this Contract is funded in whole or in part by the federal government, the County may acquire and maintain the Intellectual Property rights, title and ownership, which result directly or indirectly from this Contract, except as provided in 37 CFR § 401.14. However, pursuant to 29 CFR § 97.34, the federal government shall have a royalty-free, non-exclusive, irrevocable, paid-up license throughout the world to use, duplicate or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.

(b) Ownership.

(1) Except where County has agreed in a signed writing to accept a license, the County shall be and remain, without additional compensation, the sole owner of any and all rights, title and interest in all Intellectual Property, from the moment of creation, whether or not jointly conceived, that are made, conceived, derived from, or reduced to practice by the Contractor or County and in which result directly or indirectly from this Contract.

(2) Intellectual Property Defined.

(A) For the purposes of this Contract, Intellectual Property means recognized protectable rights and interest such as: patents (whether or not issued),

copyrights, trademarks, service marks, applications for any of the foregoing, inventions, trade secrets, trade dress, logos, insignia, color combinations, slogans, moral rights, right of publicity, author's rights, contract and licensing rights, works, mask works, industrial design rights, rights of priority, know how, design flows, methodologies, devices, business processes, developments, innovations, good will, any data or information maintained, collected or stored in the ordinary course of business by County, and all other legal rights protecting intangible proprietary information as may exist now and/or hereafter come into existence, and all renewals and extensions, regardless of whether those rights arise under the laws of the United States, or any other state, country or jurisdiction.

(B) For the purposes of the definition of Intellectual Property, "works" means all literary works, writings, and printed matter including the medium by which they are recorded or reproduced, photographs, art work, pictorial and graphic representations and works of a similar nature, film, motion pictures, digital images, animation cells, and other audiovisual works including positives and negatives thereof, sound recordings, tapes, educational materials, interactive videos, computer software and any other materials or products created, produced, conceptualized and fixed in a tangible medium of expression. It includes preliminary and final products and any materials and information developed for the purposes of producing those final products. "Works" does not include articles submitted to peer review or reference journals or independent research projects.

(3) In the performance of this Contract, Contractor may exercise and utilize certain of its Intellectual Property in existence prior to the effective date of this Contract. In addition, under this Contract, Contractor may access and utilize certain of County's Intellectual Property in existence prior to the effective date of this Contract. Except as otherwise set forth herein, Contractor shall not use any of County's Intellectual Property now existing or hereafter existing for any purposes without the prior written permission of County. Except as otherwise set forth herein, neither the Contractor nor County shall give any ownership interest in or rights to its Intellectual Property to the other party. If, during the term of this Contract, Contractor accesses any third-party Intellectual Property that is licensed to County, Contractor agrees to abide by all license and confidentiality restrictions applicable to County in the third-party's license agreement.

(4) Contractor agrees to cooperate with County in establishing or maintaining County's exclusive rights in the Intellectual Property, and in assuring County's sole rights against third parties with respect to the Intellectual Property. If the Contractor enters into any agreements or subcontracts with other parties in order to perform this Contract, Contractor shall require the terms of the agreement (s) to include all Intellectual Property provisions of this § 1215. Such terms must include, but are not limited to, the subcontractor assigning and agreeing to assign to County all rights, title and interest in Intellectual Property made, conceived, derived from, or reduced to practice by the subcontractor, Contractor or County and which result directly or indirectly from this Contract or any subcontract.

(5) Contractor further agrees to assist and cooperate with County in all reasonable respects, and execute all documents and, subject to reasonable availability, give testimony and take all further acts reasonably necessary to acquire, transfer, maintain, and enforce County's Intellectual Property rights and interests.

(c) Retained Rights/License Rights.

(1) Except for Intellectual Property made, conceived derived from, or reduced to practice by Contractor or County and which result directly or indirectly from this Contract, Contractor shall retain title to all of its Intellectual Property to the extent such Intellectual Property is in existence prior to the effective date of this Contract. Contractor hereby grants to County, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose of Contractor's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this Contract, unless Contractor assigned all rights, title and interest in the Intellectual Property as set forth herein.

(2) Nothing in this provision shall restrict, limit, or otherwise prevent Contractor from using any ideas, concepts, know-how, methodology or techniques related to its performance under this Contract, provided that Contractor's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of County or third party, or result in a breach or default of any provisions of this §1215 or result in a breach of any provisions of law relating to confidentiality.

(d) Copyright.

(1) Contractor agrees that for purposes of copyright law, all works (as defined in Ownership, § 1215 (b)(2)(B)) of authorship made by or on behalf of Contractor in connection with Contractor's performance of this Contract shall be deemed "works made for hire." Contractor further agrees that the work of each person utilized by Contractor in connection with the performance of this Contract will be a "work made for hire" whether that person is an employee of Contractor or that person has entered into an agreement with Contractor to perform the work. Contractor shall enter into a written agreement with any such person that :

(A) all work performed for Contractor shall be deemed a "work made for hire" under the Copyright Act and

(B) that person shall assign all right, title, and interest to County to any work product made, conceived, derived from, or reduced to practice by Contractor or County and which result directly or indirectly from this Contract.

(2) All materials, including, but not limited to, computer software, visual works or text, reproduced or distributed pursuant to this Contract that include Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or County and

which result directly or indirectly from this [Contract/Agreement] may not be reproduced or disseminated without prior written permission from County.

(e) Patent Rights.

With respect to inventions made by Contractor in the performance of this Contract, which did not result from research and development specifically included in the Contract's scope of work, Contractor hereby grants to County a license as described under § 1215(c) for devices or material incorporating, or made through the use of such inventions. If such inventions result from research and development work specifically included within the Contract's scope of work, then Contractor agrees to assign to County, without additional compensation, all its right, title and interest in and to such inventions and to assist County in securing United States and foreign patents with respect thereto.

(f) Third-Party Intellectual Property. Except as provided herein, Contractor agrees that its performance of this Contract shall not be dependent upon or include any Intellectual Property of Contractor or third party without first: (1) obtaining County's prior written approval; and (2) granting to or obtaining for County, without additional compensation, a license as described in § 1215(c), for any of Contractor's or third-party's Intellectual Property in existence prior to the effective date of this Contract. If such a license upon these terms is unattainable, and County determines that the Intellectual Property should be included in or is required for Contractor's performance of this Contract, Contractor shall obtain a license under terms acceptable to County.

(g) Warranties.

(1) Contractor represents and warrants that:

(A) It has secured and will secure all rights and licenses necessary for its performance of this Contract.

(B) Neither Contractor's performance of this Contract, nor the exercise by either party of the rights granted in this Contract, nor any use, reproduction, manufacture, sale, offer to sell, import, export, modification, public and private display/performance, distribution, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or County and which result directly or indirectly from this Contract will infringe upon or violate any Intellectual Property right, non-disclosure obligation, or other proprietary right or interest of any third-party or entity now existing under the laws of, or hereafter existing or issued by, any state, the United States or any foreign country. There is currently no actual or threatened claim by any such third party based on an alleged violation of any such right by Contractor.

(C) Neither Contractor's performance nor any part of its performance will violate the right of privacy of, or constitute a libel or slander against any person or entity.

(D) It has secured and will secure all rights and licenses necessary for Intellectual Property including, but not limited to, consents, waivers or releases from all authors of music or performances used, and talent (radio, television and motion picture talent), owners of any interest in and to real estate, sites, locations, property or props that may be used or shown.

(E) It has not granted and shall not grant to any person or entity any right that would or might derogate, encumber, or interfere with any of the rights granted to County in this Contract.

(F) It has appropriate systems and controls in place to ensure that State funds will not be used in the performance of this Contract for the acquisition, operation or maintenance of computer software in violation of copyright laws.

(G) It has no knowledge of any outstanding claims, licenses or other charges, liens, or encumbrances of any kind or nature whatsoever that could affect in any way Contractor's performance of this Contract.

(2) COUNTY MAKES NO WARRANTY, THAT THE INTELLECTUAL PROPERTY RESULTING FROM THIS CONTRACT DOES NOT INFRINGE UPON ANY PATENT, TRADEMARK, COPYRIGHT OR THE LIKE, NOW EXISTING OR SUBSEQUENTLY ISSUED.

(h) *Intellectual Property Indemnity.*

(1) Contractor shall indemnify, defend and hold harmless County and its licenses and assignees, and its officers, directors, employees, agents, representatives, successors, and users of its products, ("Indemnitees") from and against all claims, actions, damages, losses, liabilities (or actions or proceedings with respect to any thereof), whether or not rightful, arising from any and all actions or claims by any third party or expenses related thereto (including, but not limited to, all legal expenses, court costs, and attorney's fees incurred in investigating, preparing, serving as a witness in, or defending against, any such claim action, or proceeding, commenced or threatened) to which any of the Indemnitees may be subject, whether or not Contractor is a party to any pending or threatened litigation, which arise out of or are related to:

(A) the incorrectness or breach of any of the representations, warranties, covenants or agreements of Contractor pertaining to Intellectual Property; or

(B) any Intellectual Property infringement, or any other type of actual or alleged infringement claim, arising out of County's use, reproduction, manufacture, sale, offer to sell, distribution, import, export, modification, public and private performance/display, license, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or County and which result directly or indirectly from this Contract.

This indemnity obligation shall apply irrespective of whether the infringement claim is based on a patent, trademark or copyright registration that was issued after the effective date of this Contract. County reserves the right to participate in and/or control, at Contractor's expense, any such infringement action brought against County.

(2) Should any Intellectual Property licensed by the Contractor to County under this Contract become the subject of an Intellectual Property infringement claim, Contractor will exercise its authority reasonably and in good faith to preserve County's right to use the licensed Intellectual Property in accordance with this Contract at no expense to

County. County shall have the right to monitor and appear through its own counsel (at Contractor's expense) in any such claim or action. In the defense or settlement of the claim, Contractor may obtain the right for County to continue using the licensed Intellectual Property; or, replace or modify the licensed Intellectual Property so that the replaced or modified Intellectual Property becomes non-infringing provided that such replacement or modification is functionally equivalent to the original licensed Intellectual Property. If such remedies are not reasonably available, County may be entitled to a refund of all monies paid under this Contract, without restriction or limitation of any other rights and remedies available at law or in equity.

(3) Contractor agrees that damages alone would be inadequate to compensate County for breach of any term of this Intellectual Property provisions of this § 1215 by Contractor. Contractor acknowledges County would suffer irreparable harm in the event of such breach and agrees County shall be entitled to obtain equitable relief, including without limitation an injunction, from a court of competent jurisdiction, without restriction or limitation of any other rights and remedies available at law or in equity.

(i) *Survival.* The provisions set forth herein shall survive any termination or expiration of this Contract or any project schedule.

§ 1216. Fee Waivers For Use Of County Facilities.

The County waives any rental fees of County owned facilities Contractor uses in the provision of free dispute resolution services for the duration of the program.

Any rental fees of County owned facilities that Contractor uses in the provision of free dispute resolution services shall be waived for the duration of the contract.

[remainder page left blank]

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

STATEMENT OF WORK - PROJECT INFORMATION SUMMARY

CONTRACTOR LEGAL NAME: _____

OFFICE ADDRESS: _____ CITY: _____ CA ZIP: _____

MAILING ADDRESS: _____ CITY: _____ CA ZIP: _____

EXECUTIVE DIRECTOR: _____ TELEPHONE NO.: (____) _____

ADVISORY/BOARD CHAIRPERSON: _____ TELEPHONE NO.: (____) _____ FAX: (____) _____

MAILING ADDRESS: _____ CITY: _____ CA ZIP: _____
(Must be different from the above agency address.)

PROJECT BUDGET

GRANT SHARE	CASH MATCH SHARE	IN-KIND MATCH SHARE	TOTAL PROJECT COST
\$ _____	\$ _____	\$ _____	\$ _____

PROGRAM APPROVALS: The following representatives have reviewed and approved the Statement of Work to be carried out under this contract:

CONTRACTOR REPRESENTATIVE: _____ TITLE: _____

CONTRACTOR SIGNATURE: _____ DATE: _____

CSS STAFF: _____ DATE: _____

CSS MANAGEMENT: _____ DATE: _____

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

II. STATEMENT OF WORK -- PROGRAM DESCRIPTION

C. STAFF NAMES and TITLES	
A. PROGRAM:	
B. PROGRAM SITE ADDRESSES w/public phone numbers & days/hours of operation	
D. PROGRAM NARRATIVE	

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

D PROGRAM NARRATIVE (Cont'd)

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

D. PROJECT NARRATIVE (Cont'd)

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

D. PROGRAM NARRATIVE (Cont'd)

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

III. STATEMENT OF WORK - PROJECT OPERATING PLAN

UNDUPLICATED SERVICE COUNT

DISPUTE RESOLUTION SERVICE	1ST QUARTER	2ND QUARTER	3RD QUARTER	4TH QUARTER	TOTAL
A. Intake/Problem Assessment					
B. Cases Opened (On case information form 2x the number)					
C. Proceeding Initiated					
D. Cases Resolved Total = (D1. + D2. + D3. + D4.)					
1. <u>Court Related/referred</u>					
2. <u>Youth (18 and under)</u>					
3. <u>Community</u>					
4. <u>Facilitations Completed</u>					
E. Follow-up Surveys (2x the # of Proceeding Initiated)					
F. Information and Referral					
G. Public Education/Presentations (Training & Presentations)					
1. <u>Training / Estimated No. Trained</u>	Estimated No.	Estimated No.	Estimated No.	Estimated No.	
2. <u>Presentations/ Estimated No. Attendees</u>					

Community and Senior Services of Los Angeles County
Dispute Resolution Program FY 2005-2006
Contract Period July 1, 2005 - June 30, 2006**BUDGET SUMMARY PAGE****CONTRACTOR NAME:****BUDGET APPROVALS:****CONTRACTOR REPRESENTATIVE NAME:****TITLE:****CONTRACTOR REPRESENTATIVE SIGNATURE:****DATE:****CSS STAFF:****DATE:****CSS MANAGEMENT:****DATE:****I. BUDGET ALLOCATION**

A. COST CATEGORY	B. GRANT SHARE	C. NON-GRANT SHARE		D. TOTAL
		1. CASH	2. IN-KIND	
1. PERSONNEL				
2. FRINGE BENEFITS				
3. CONSULTANT/CONTRACT SERVICES				
4. TRAVEL				
5. SPACE a. Donated Mediation Space (\$50 per mediation)				
6. CONSUMABLE SUPPLIES				
7. EQUIPMENT (Purchases)				
8. EQUIPMENT (Leases and Rentals)				
9. OTHER				
10. TOTAL COSTS				

Community and Senior Services of Los Angeles County
 Dispute Resolution Program FY 2005-2006
 Contract Period July 1, 2005 - June 30, 2006

II. BUDGET SUMMARY PAGE - PROJECTED EXPENDITURES

Project/Program	a. 1ST QUARTER	b. 2ND QUARTER	c. 3RD QUARTER	d. 4TH QUARTER	e. TOTAL
A. GRANT SHARE (Total 1-3)	\$	\$	\$	\$	\$
1. Community					
2. Court					
3. Youth					
B. NON-GRANT SHARE	\$	\$	\$	\$	\$
TOTAL (sum of line A and B)	\$	\$	\$	\$	\$

Community and Senior Services of Los Angeles County
Dispute Resolution Program FY 2005-2006
Contract Period July 1, 2005 - June 30, 2006

III. BUDGET JUSTIFICATION

A. ITEMIZE EACH LINE ITEM AND PROVIDE BASIS FOR VALUATION	B. AMOUNT OR VALUE OF ITEM			C. TOTAL
	1. GRANT SHARE	2. NON-GRANT CASH	3. NON-GRANT IN-KIND	
1. Personnel (See IV. Personnel Budget)				
2. Fringe Benefits (See V. Fringe Benefits)				
3. Consultant/Contract Services				
4. Travel				
5. Space				
6. Consumable Supplies				
7. Equipment (Purchases)				
8. Equipment (Leases and Rentals)				
9. Other				
10. TOTAL COSTS	\$	\$	\$	\$

[illegible]

V. FRINGE BENEFITS		TOTAL	\$	\$	\$
Specify type of costs, percentages, and base applied:					
FICA	%		\$		\$
SDI/SUI	%		\$		\$
			\$		\$
			\$		\$
TOTAL FRINGE BENEFITS			\$		\$
PROPER ACCOUNTING RECORDS (<u>monthly time cards signed by staff and supervisor</u>) MUST BE KEPT TO SUPPORT ALL GRANT AND NON GRANT POSITIONS CHARGED TO THIS CONTRACT.					

VI. REVENUE AND OTHER GRANT INFORMATION

A. Annual estimate of funds to be generated from categories listed to cover total cash non-grant share listed on the first page of this exhibit (totals should match).

1) TRAINING FEES	2) INTEREST BEARING BANK ACCOUNT	3) ADMINISTRATIVE FEES	4) FUND-RAISING	5) OTHER GRANTS*	TOTAL

B. List other ADR related grants or contracts to your organization, amount of award, anticipated number to serve under each individual grant for FY 2005-2006.

1) GRANT NAME	2) AMOUNT OF AWARD	3) NO. TO BE SERVED	4) TYPE OF PROGRAM
TOTAL			

DISPUTE RESOLUTION PROGRAM DEFINITIONS/WORKING GUIDE

PROCEDURAL DEFINITIONS		
	A "Master" definition document will be prepared to facilitate easy reference in addition to the definitions separated by category.	
Case Opened	A case is opened when a party voluntarily agrees to submit a conflict to a dispute resolution service and gives the grantee permission to contact the other party(ies) for the purpose of resolving the conflict through dispute resolution services.	
Case Closed	Resolved	A dispute is considered to be resolved if parties reach agreement on all of the issues of the dispute.
	Partially Resolved	A partial resolution is when parties reach agreement on some but not all of the issues of the dispute.
	Unresolved	A case is considered to be unresolved if parties do not reach agreement on any of the issues of the dispute or do not choose to continue participation in the process.
	Case Closed	A case is closed due to inaction (90 days or more)
Facilitations Completed	A facilitation is completed when all documented sessions of a group are completed with the use of a facilitator using neutral skills to defuse/resolve an issue or issues of conflict.	
Follow-up Surveys	<p>Follow-up Surveys are the tools used by the Grantees for the evaluation of parties who have used their services. At a minimum, the surveys shall be conducted annually and will include the following:</p> <ol style="list-style-type: none">1. the type of dispute resolution services provided by the Grantee2. the fairness or adequacy of the settlement or award3. any particular difficulties experienced by the disputant in carrying out and obtaining compliance with the settlement agreement or award4. the disputant's willingness to use the Grantee's services in the future5. the disputant's willingness to recommend the Grantee's services to others who are involved in disputes. Surveys shall be conducted on all cases initiated. <p>Dispute Resolution Programs Act – Regulations, Article 3, Section 3635</p>	
Information and Referral Services	Information and referral involves a grantee providing disputants with information and/or referral to the services of other agencies.	
Intake/Problem Assessment	Intake/Problem Assessment is the evaluation of information for the purpose of determining the appropriateness, feasibility and need for dispute resolution services which are authorized and funded by the DRPA.	
Proceedings Initiated	A proceeding is initiated when both parties agree to participate in a dispute resolution process with the assistance of the grantee.	
Public Education/Presentations	Public education of communities with regards to the availability and benefits of alternative dispute resolution process with the assistance of the grantee. (Statistics will also be collected on the number of attendees at presentations)	
Individual County's Option		
Negotiations/Options Counseling	Negotiations/options counseling is consultation with parties who need assistance in negotiating their disputes, but do not want to use the third party neutral services of the grantee.	
GENERAL DEFINITIONS		
Arbitration	<p>"Arbitration" refers to the voluntary adjudicative process in which a neutral person conducts a hearing, receives spoken and /or written evidence from the disputants and their witnesses, and renders a decision that may be binding or non-binding depending on the consent of the disputants.</p> <p>Dispute Resolution Programs Act – Regulations, Article 1, Section 3602 (a) (3)</p>	

Act	"Act" means the Dispute Programs Act of 1986, commencing with Section 465 of the California Business and Professional Code Dispute Resolution Programs Act – Regulations, Article 1, Section 3600 (a) (3).
Code	"Code" means the California Business and Professional Code. Dispute Resolution Programs Act – Regulations, Article 1, Section 3600 (b) (3).
Collateral Services	"Collateral Services" refers to the screening and the intake of disputants, preparing for and conducting dispute resolution proceedings, drafting agreements and/or awards, providing information and/or referral services, and conducting follow-up surveys. Dispute Resolution Programs Act – Regulations, Article 1, Section 3602 (b) (3).
Conciliation	"Conciliation" is a dispute resolution service consisting of a process of independent communications between the disputants and a neutral person. Typically, in conciliation, except for conciliation court, parties do not meet face-to-face. Dispute Resolution Programs Act – Regulations, Article 1, Section 3602 (a)(1) (3).
Conflict Resolution	"Conflict Resolution" refers to the broader category of techniques for promoting agreement or a mutual understanding between individuals or groups.
Department of Consumer Affairs	"Department of Consumer Affairs" means the California State Department of Consumer Affairs, located at 400 R Street, Suite 3090, Sacramento, California 95814 Dispute Resolution Programs Act – Regulations, Article 1, Section 3600 (c) (3).
Dispute Resolution	"Dispute Resolution" includes, but is not limited to: mediation, conciliation, and arbitration. Dispute Resolution Programs Act, Article 2, Section 466 (a) (3).
Dispute Resolution Services	"Dispute Resolution Services" refers to the variety of dispute resolution processes and techniques designed to assist parties in resolving disputes, without the necessity of formal judicial proceedings. Dispute Resolution Programs Act – Regulations, Article 1, Section 3602 (a) (3).
Grantee	A Grantee" is an entity receiving funding to resolve disputes under the Dispute Resolution Programs Act
Group Facilitation	Group Facilitation" is the use of neutral skills to facilitate groups of persons involved in an issue or issues of conflict.
Mediation	"Mediation", which means a process in which a neutral person(s) facilitates communication between the disputants to assist them in reaching a reconciliation, settlement, or other understanding. Dispute Resolution Programs Act – Regulations, Article 1, Section 3602 (a)(2).
Program	"Program" means an entity that provides dispute resolution services Dispute Resolution Programs Act , Article 2, Section 466 (b)
Regulations	"Regulation" refers to California Code of Regulations, Title 16, Chapter 36, commencing with Section 3600 Dispute Resolution Programs Act – Regulations, Article 1, Section 3600 (d)

CASE TYPE CATEGORIES and EXAMPLES	
Personal Injury/Property Damage	Auto accidents, products, liability, malpractice, slip and fall accidents
Business-Business (Private or Non-Profit)	Corporation issues, partnership issues, royalties, representative, copyright, division of profits payment, debts, bankruptcy, contractors, subcontractors, real property
Consumer-Merchant	Consumer goods, auto and other repairs, real estate transactions, misrepresentation, product complaint, repairs, banks, collections (debtor-creditor)
Criminal	
Youth	Victim restitution, traffic citations
Citation/Infraction	

Misdemeanor	Victim restitution
Other	
Family/Domestic-Household	Roommate, family relations, marriage (non-custodial issues) dissolution, husband-wife, parent-child, siblings
Government/Public Agency	City, county, federal, social services, immigration, intergovernmental disputes, public policy, school boards, governing boards
Landlord-Tenant	Unlawful detainer; notices: 3-day, Pay/Quit, 30-day vacate; 30-day change/terms, rent increase, change in rules, harassment, security deposits, refunds, amount disputes, maintenance/repairs, habitability standards, rent withholding; repair and deduct, illegal entry, parking garages, late charges/ fees/fines, lockout, lease agreements
Neighbor-Neighbor	Trees, noise, barking dog, neighbor-community, property line, fences, parting, trash, maintenance of property drugs, gangs, property damage (non-Auto), harassment
Organizational	Disputes within an organization
Schools	Teacher-student, parent teacher, student-student, administration-faculty
Workplace Related	Salary, working conditions, disputes between employees, discrimination, worker's compensation, sexual harassment, other harassment

REFERRAL SOURCE CATEGORIES

Attorneys	
County Bar Associations	
Courts	Judges, court personnel,
Civil (and civil harassment)	
Criminal	
Juvenile	
Small Claims	
Government/Public Entities	Federal, State, County, Cities
Law Enforcement Agency	Police, Sheriff, State Food and Drug, Probation
Other	
Private or Non-profit Agency	United Way, Information Line, Catholic Charities, Welfare Rights, etc.
Prosecutorial Office	District Attorney's Office, City Attorney's Office, Attorney General's Office
Repeat Client	Former Client who has used process before
Schools	
Self-Referral (1 st time)	Media, phone book, word of mouth, friend, internet
Small Claims Advisor(y) Office	
Unknown/decline	

DEMOGRAPHIC CATEGORIES

(For cases opened = 2 parties or more)

Participant Characteristic (For cases opened)	Male Female Government/Public Entity Business Undetermined	Age	17 and Under 18-39 40-64 65+ Not Applicable (organizations, business) Undetermined
Income (Based on annual household income)		Less than or equal to \$20,000 \$20,001 - \$30,000 30,001 - 50,000 50,001 and over	
Ethnicity/Race		Asian/Pacific American Black, African American Hispanic/Latino Native American Indian Multiple Ethnicities/Race White Not Applicable (organizations, businesses)	

No shame.

No blame.

No names.

**Newborns can be safely given up
at any Los Angeles County
hospital emergency room or fire station.**



In Los Angeles County:

1-877-BABY SAFE

1-877-222-9723

www.babysafela.org



State of California
Gray Davis, Governor

Health and Human Services Agency
Grantland Johnson, Secretary

Department of Social Services
Rita Saenz, Director



Los Angeles County Board of Supervisors

Gloria Molina, Supervisor, First District

Yvonne Brathwaite Burke, Supervisor, Second District

Zev Yaroslavsky, Supervisor, Third District

Don Knabe, Supervisor, Fourth District

Michael D. Antonovich, Supervisor, Fifth District

This initiative is also supported by First 5 LA and INFO LINE of Los Angeles.

What is the Safely Surrendered Baby Law?

California's Safely Surrendered Baby Law allows parents to give up their baby confidentially. As long as the baby has not been abused or neglected, parents may give up their newborn without fear of arrest or prosecution.

How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially and safely give up a baby within three days of birth. The baby must be handed to an employee at a Los Angeles County emergency room or fire station. As long as the child shows no signs of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, workers will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent.

What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their newborns within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

Can only a parent bring in the baby?

In most cases, a parent will bring in the baby. The law allows other people to bring in the baby if they have legal custody.

Does the parent have to call before bringing in the baby?

No. A parent can bring in a baby anytime, 24 hours a day, 7 days a week so long as the parent gives the baby to someone who works at the hospital or fire station.

Does a parent have to tell anything to the people taking the baby?

No. However, hospital personnel will ask the parent to fill out a questionnaire designed to gather important medical history information, which is very useful in caring for the child. Although encouraged, filling out the questionnaire is not required.

What happens to the baby?

The baby will be examined and given medical treatment, if needed. Then the baby will be placed in a pre-adoptive home.

What happens to the parent?

Once the parent(s) has safely turned over the baby, they are free to go.

Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned by their parents and potentially being hurt or killed. You may have heard tragic stories of babies left in dumpsters or public bathrooms. The parents who committed these acts may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had nowhere to turn for help, they abandoned their infants. Abandoning a baby puts the child in extreme danger. It is also illegal. Too often, it results in the baby's death. Because of the Safely Surrendered Baby Law, this tragedy doesn't ever have to happen in California again.

A baby's story

At 8:30 a.m. on Thursday, July 25, 2002, a healthy newborn baby was brought to St. Bernardine Medical Center in San Bernardino under the provisions of the California Safely Surrendered Baby Law. As the law states, the baby's mother did not have to identify herself. When the baby was brought to the emergency room, he was examined by a pediatrician, who determined that the baby was healthy and doing fine. He was placed with a loving family while the adoption process was started.

Every baby deserves a chance for a healthy life. If someone you know is considering abandoning a newborn, let her know there are other options.

It is best that women seek help to receive proper medical care and counseling while they are pregnant. But at the same time, we want to assure parents who choose not to keep their baby that they will not go to jail if they deliver their babies to safe hands in any Los Angeles County hospital ER or fire station.

**CERTIFICATION REGARDING
DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS
PRIMARY COVERED TRANSACTIONS**

Applicant Organization _____

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98, Section 98.510, Participants' Responsibilities. The regulations were published as Part VII of the May 26, 1988, *Federal Register* (Pages 191601-19211).

- (1) The prospective primary participant (i.e., grantee) certifies to the best of its knowledge and belief that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, State, or local) transaction or contract under a public transaction: violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (federal State, or local) with commission of any of the offenses enumerated in Paragraph (1)(b) of this certification; and
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (federal, State, or local) terminated for cause of default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
TYPED OR PRINTED NAME OF AUTHORIZING SIGNATURE	
APPLICANT ORGANIZATION	DATE SUBMITTED

VENDOR'S EEO CERTIFICATION

In accordance with Section 4.32.010 *et seq.* of Los Angeles County Code, the supplier, or vendor certifies and agrees that all persons employed by such firm, its affiliates, subsidiaries or holding companies are and will be treated equally by the firm without regard to or because of race, religion, ancestry, national origin, or sex and in compliance with all anti-discrimination laws of the United States of America and the State of California.

Organization

Date

Name and Title

Signature

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of Any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Organization

State

Authorized Signature

Title

Date

DRUG-FREE WORKPLACE CERTIFICATION

Pursuant to the State of California, Government Code, Section #8355 ff, the Contractor hereby certifies that:

1. Contractor agrees to the incorporation of this Certification into the Welfare-to-Work Contract and certifies that the Contractor will provide all participants and employees a drug-free workplace, pursuant to Government Code Section #8355 ff of the State of California, by doing all of the following:

Publishing a Statement notifying all employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the person's or organization's workplace and specifying the actions that will be taken against employees for violations of the prohibition.

Establishing a drug awareness program to inform employees about the dangers of drugs and the types of help available to drug abusers.

2. Contractor further understands that, pursuant to the State of California, Government Code Section #8355 ff, payments to Contractor under this Contract may be suspended and/or terminated if the County determines that any of the following has occurred:
 1. Contractor has made a false certification under the State of California, Government Code Section #8355 ff.
 2. Contractor has violated the Certification by failing to carry out the requirements of this Certification.
3. This Certification shall not be construed to require the Contractor to ensure that other business with which it conducts normal business intercourse, also provide drug-free workplaces.

SIGNATURE

AGENCY NAME (TYPE)

SIGNATORY'S NAME (TYPE)

DATE

**COUNTY OF LOS ANGELES CONTRACTOR EMPLOYEE JURY SERVICE PROGRAM
APPLICATION FOR EXCEPTION AND CERTIFICATION FORM**

The County's solicitation for this contract/purchase order (Request for Proposal or Invitation for Bid) is subject to the County of Los Angeles Contractor Employee Jury Service Program (Program) (Los Angeles County Code, Chapter 2 203). All bidders or proposers, whether a contractor or subcontractor, must complete this form to either 1) request an exception from the Program requirements or 2) certify compliance. Upon review of the submitted form, the County department will determine, in its sole discretion, whether the bidder or proposer is excepted from the Program.

Company Name:		
Company Address:		
City:	State:	Zip Code:
Telephone Number:		
Solicitation For (Type of Goods or Services):		

If you believe the Jury Service Program does not apply to your business, check the appropriate box in Part I (attach documentation to support your claim); or, complete Part II to certify compliance with the Program. Whether you complete Part I or Part II, please sign and date this form below.

Part I: Jury Service Program Is Not Applicable to My Business

- ☐ My business does not meet the definition of "contractor," as defined in the Program as it has not received an aggregate sum of \$50,000 or more in any 12-month period under one or more County contracts or subcontracts (this exception is not available if the contract/purchase order itself will exceed \$50,000). I understand that the exception will be lost and I must comply with the Program if my revenues from the County exceed an aggregate sum of \$50,000 in any 12-month period.

- ☐ My business is a small business as defined in the Program. It 1) has ten or fewer employees; and, 2) has annual gross revenues in the preceding twelve months which, if added to the annual amount of this contract, are \$500,000 or less; and, 3) is not an affiliate or subsidiary of a business dominant in its field of operation, as defined below. I understand that the exemption will be lost and I must comply with the Program if the number of employees in my business and my gross annual revenues exceed the above limits.

"Dominant in its field of operation" means having more than ten employees, including full-time and part-time employees, and annual gross revenues in the preceding twelve months, which, if added to the annual amount of the contract awarded, exceed \$500,000.

"Affiliate or subsidiary of a business dominant in its field of operation" means a business which is at least 20 percent owned by a business dominant in its field of operation, or by partners, officers, directors, majority stockholders, or their equivalent, of a business dominant in that field of operation.

- ☐ My business is subject to a Collective Bargaining Agreement (attach agreement) that expressly provides that it supersedes all provisions of the Program.

OR

Part II: Certification of Compliance

- ☐ My business has and adheres to a written policy that provides, on an annual basis, no less than five days of regular pay for actual jury service for full-time employees of the business who are also California residents, or my company will have and adhere to such a policy prior to award of the contract.

I declare under penalty of perjury under the laws of the State of California that the information stated above is true and correct.

Print Name:	Title:
Signature:	Date:



Contract No. _____
Amendment No. _____

**COMMUNITY AND SENIOR SERVICES
OF THE COUNTY OF LOS ANGELES**

AGREEMENT TO AMEND CONTRACT PAYMENT

DISPUTE RESOLUTION PROGRAM (DRP)

This Agreement to amend said contract is made and entered into this ____ day of _____
2005 by and between the County of Los Angeles, Community and Senior Services,
hereinafter referred to as "CSS" and _____, hereinafter referred to
as "CONTRACTOR."

WHEREAS, the parties hereto have previously entered into the above referenced
Contract on July 1, 2005;

WHEREAS, the parties desire to amend this Contract and its Exhibits in accordance with
the Alternative Dispute Resolution (ADR) Trust Fund dollars collected in Fiscal Year
2004-05 and in accordance with the terms and conditions as set forth below:

NOW, THEREFORE, the parties hereto agree as follows:

- I. Section 3 of the contract between CSS and the CONTRACTOR is amended to
reflect that CSS shall reimburse CONTRACTOR in the amount not to exceed
_____ dollars (\$_____) for the full
contract period of July 1, 2005 to June 30, 2006. The amount of this
increase/decrease does not exceed 25% of the original contractor allocation.
- II. The attached Exhibit "B" replaces the original Exhibit "B" of the Contract.
- III. The attached Exhibit "C" replaces the original Exhibit "C" of the Contract.

Except where modified by this Amendment, the terms and conditions of the original
Contract shall remain in full force and effect.

IN WITNESS WHEREOF, the Board of Supervisors of the COUNTY OF LOS ANGELES has caused this Amendment to be subscribed by the Interim Director of Community and Senior Services, or her designee, and the CONTRACTOR has subscribed the same through its authorized officer, on the day, month, and year first written above. The person signing on behalf of the CONTRACTOR warrants under penalty of perjury that he or she is authorized to bind the CONTRACTOR.

COUNTY OF LOS ANGELES

By _____
Cynthia D. Banks, Interim Director
Community and Senior Services
County of Los Angeles

Approved As to Form:
Raymond G. Fortner, Jr.
COUNTY COUNSEL

By _____
Deputy

CONTRACTOR

Contractor's Name (Print)

By _____
Authorized Signature

(Print or Type Name)

Title (Print or Type)

STATEMENT OF WORK - PROJECT INFORMATION SUMMARY

CONTRACTOR LEGAL NAME: _____

OFFICE ADDRESS: _____ CITY: _____ CA ZIP: _____

MAILING ADDRESS: _____ CITY: _____ CA ZIP: _____

EXECUTIVE DIRECTOR: _____ TELEPHONE NO.: () _____ FAX () _____

ADVISORY/BOARD CHAIRPERSON: _____ TELEPHONE NO.: () _____ FAX () _____

MAILING ADDRESS: _____ CITY: _____ CA ZIP: _____

(Must be different from the above agency address.)

PROJECT BUDGET

GRANT SHARE	CASH MATCH SHARE	IN-KIND MATCH SHARE	TOTAL PROJECT COST
\$	\$	\$	\$

PROGRAM APPROVALS: The following representatives have reviewed and approved the Statement of Work to be carried out under this contract:

CONTRACTOR REPRESENTATIVE: _____ TITLE: _____

CONTRACTOR SIGNATURE: _____ DATE: _____

CSS STAFF: _____ DATE: _____

CSS MANAGEMENT: _____ DATE: _____

Exhibit B

Page 2 OF 6

Contract No.:

Amendment No.:

Modification No.:

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

II. STATEMENT OF WORK – PROGRAM DESCRIPTION

A. PROGRAM:	C. STAFF NAMES and TITLES
B. PROGRAM SITE ADDRESSES w/public phone numbers & days/hours of operation	
D. PROGRAM NARRATIVE	

Exhibit B

Page 3 OF 6

Contract No.:

Amendment No.:

Modification No.:

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

D. PROGRAM NARRATIVE (Cont'd)

Exhibit B

Page 4 OF 6

Contract No.:

Amendment No.:

Modification No.:

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

D. PROJECT NARRATIVE (Cont'd)

Exhibit B

Page 5 OF 6

Contract No. _____

Amendment No. _____

Modification No. _____

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD: July 1, 2005 - June 30, 2006

D. PROGRAM NARRATIVE (Cont'd)

STATEMENT OF WORK - PROJECT OPERATING PLAN

UNDUPLICATED SERVICE COUNT

DISPUTE RESOLUTION SERVICE	1ST QUARTER	2ND QUARTER	3RD QUARTER	4TH QUARTER	TOTAL
A. Intake/Problem Assessment					
B. Cases Opened (On case information form 2x the number)					
C. Proceeding Initiated					
D. Cases Resolved Total = (D1. +D2. +D3. +D4.)					
1. <u>Court Related/referred</u>					
2. <u>Youth (18 and under)</u>					
3. <u>Community</u>					
4. <u>Facilitations Completed</u>					
E. Follow-up Surveys (2x the # of Proceeding Initiated)					
F. Information and Referral					
G. Public Education/Presentations (Training & Presentations)					
1. <u>Training / Estimated No. Trained</u>	Estimated No.	Estimated No.	Estimated No.	Estimated No.	
2. <u>Presentations/ Estimated No. Attendees</u>					

BUDGET SUMMARY PAGE

CONTRACTOR NAME: _____

BUDGET APPROVALS:

CONTRACTOR REPRESENTATIVE NAME: _____

TITLE: _____

CONTRACTOR REPRESENTATIVE SIGNATURE: _____

DATE: _____

CSS STAFF: _____

DATE: _____

CSS MANAGEMENT: _____

DATE: _____

I. BUDGET ALLOCATION

A. COST CATEGORY	B. GRANT SHARE	C. NON-GRANT SHARE		D. TOTAL
		1. CASH	2. IN-KIND	
1. PERSONNEL				
2. FRINGE BENEFITS				
3. CONSULTANT/CONTRACT SERVICES				
4. TRAVEL				
5. SPACE (total of space cost including 5a.)				
a. Donated Mediation Space (\$50 per mediation)				
6. CONSUMABLE SUPPLIES				
7. EQUIPMENT (Purchases)				
8. EQUIPMENT (Leases and Rentals)				
9. OTHER				
10. TOTAL COSTS				

Community and Senior Services of Los Angeles County
DISPUTE RESOLUTION PROGRAM FY 2005-2006
CONTRACT PERIOD JULY 1, 2005 - JUNE 30, 2006

II. BUDGET SUMMARY PAGE - PROJECTED EXPENDITURES

Project/Program	a. 1ST QUARTER	b. 2ND QUARTER	c. 3RD QUARTER	d. 4TH QUARTER	e. TOTAL
A. GRANT SHARE (Total 1-3)	\$	\$	\$	\$	\$
1. Community					
2. Court					
3. Youth					
B. NON-GRANT SHARE	\$	\$	\$	\$	\$
TOTAL (sum of line A and B)	\$	\$	\$	\$	\$

III. BUDGET JUSTIFICATION

A. ITEMIZE EACH LINE ITEM AND PROVIDE BASIS FOR VALUATION	B. AMOUNT OR VALUE OF ITEM			C. TOTAL
	1. GRANT SHARE	2. NON-GRANT CASH	3. NON-GRANT IN-KIND	
1. Personnel (See IV. Personnel Budget)				
2. Fringe Benefits (See V. Fringe Benefits)				
3. Consultant/Contract Services				
4. Travel				
5. Space				
6. Consumable Supplies				
7. Equipment (Purchases)				
8. Equipment (Leases and Rentals)				
9. Other				
10. TOTAL COSTS	\$	\$	\$	\$

IV. PERSONNEL BUDGET

A. POSITION/TITLE/ CLASSIFICATION (List Each Individual Position in Organizational Chart Order)	B. FT employee of organization place MONTHLY SALARY P/T employee of org Place HOURLY WAGE	C. % TIME ON CONTRACT (if F/T) HOURS TO WORK IN YEAR (if P/T)	D. MONTHS TO BE EMPLOYED	E. TOTAL COSTS (BXCXD=E) AND (F+G+E)	F. GRANT FUNDED PORTION	G. NON-GRANT PORTION
_____VOLUNTEER(S)	\$ 25.00	HOURS		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$
	\$	%		\$	\$	\$

V. FRINGE BENEFITS

TOTAL

Specify type of costs, percentages, and base applied:				
FICA	%	\$		\$
SDI/SUI	%	\$		\$
		\$		\$
		\$		\$
PROPER ACCOUNTING RECORDS (monthly time cards signed by staff and supervisor) MUST BE KEPT TO SUPPORT ALL GRANT AND NON GRANT POSITIONS CHARGED TO THIS CONTRACT.		TOTAL FRINGE BENEFITS	\$	\$

1) GRANT NAME	2) AMOUNT OF AWARD	3) NO. TO BE SERVED	4) TYPE OF PROGRAM
TOTAL			

MINORITY/WOMEN VENDOR SURVEY

PROCESS FOR IDENTIFYING MINORITY/WOMEN VENDORS

A public notice was published in the Los Angeles Times regarding a Request for Proposal (RFP) for the Dispute Resolution Program for 2003-2006. Notices of the RFP were sent to current DRP grantees and over 500 agencies on the Community Services Division RFP mailing list and other interested individuals. A Minority/Women staff and board survey was included in the RFPs submitted by the applicants. Staff did an additional survey in April 2005. Vendors were selected without regard to race, creed, or color.

LIST OF AGENCIES/ENTITIES FROM WHICH THE DEPARTMENT SOLICITED PROPOSALS

The agencies listed below are the competing vendors and are being recommended for funding for FY 2005-06, beginning July 1, 2005 and ending June 30, 2006. The minority/women percentages of staff and governing Boards are identified and were obtained from each of the agencies/entities.

AGENCIES	% GOV. BOARDS	% STAFF
	Minority/ Women	Minority/ Women
Asian Pacific American Dispute Resolution Center	80%/60%	100%/67%
California Academy of Mediation Professionals	0%/25%	0%/75%
California Lawyers for the Arts, Arts Arbitration and Mediation Services	16%/32%	50%/100%
Center for Conflict Resolution	33%/66%	0%/33%
City of Hawthorne/Centinel Youth Services	62%/37%	68%/68%
City of Norwalk - Dispute Resolution Program	60%/20%	80%/60%
Inland Valley Justice Center, Inc.	0%/0%	63%/87%
Korean American Coalition, The 4.29 Center	98%/27%	100%/60%
Los Angeles County Bar Association, Dispute Resolution Services, Inc.	15%/31%	100%/66%
Los Angeles County Department of Consumer Affairs, Dispute Settlement Services	40%/40%	50%/100%
Superior Court of California, County of Los Angeles	23%/26%	89%/89%
Loyola Law School, Center for Conflict Resolution	8%/22%	71%/71%
Martin Luther King Legacy Association, Inc., Martin Luther King Dispute Resolution Program	82%/45%	50%/50%
Office of the Los Angeles City Attorney, Dispute Resolution Program	40%/33%	66%/80%